IMPLEMENTATION PROCEDURE OF TARGETED FINANCIAL SANCTIONS (IP-TFS) RELATED TO TERRORIST FINANCING AND PROLIFERATION FINANCING

Ministry of Home Affairs

May, 2024

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

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Abbreviations

ALPA	Asset (Money) Laundering Prevention Act, 2008
ALPR	Asset (Money) Laundering Prevention Rules, 2024
DNFBPs	Designated Non-Financial Business or Professionals
FIs	Financial Institutions
MoHA	Ministry of Home Affairs
MoFA	Ministry of Foreign Affairs
OPMCM	Office of Prime Minister and Council of Ministers
TFS-PF	Targeted Financial Sanction- Proliferation Financing
TFS-TF	Targeted Financial Sanction- Terrorism Financing
UN	United Nations
UNSC	United Nations Security Council
UNSCRs	United Nations Security Council Resolutions

Government of Nepal
Ministry of Home Affairs

Contents

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Chapter 1: Introduction

a. Background

The global community stands united on a singular, resolute platform to combat and eradicate the threats of terrorism, terrorism financing and proliferation financing. Terrorisms, financing of terrorism and proliferation have emerged as critical challenges at both national and international levels, severely impacting economic stability, national security, and the integrity of the financial system and cyber domain. Nepal, as a member of the United Nations (UN) and various regional and international organizations, has demonstrated its commitment to preventing terrorism financing by becoming a signatory to numerous treaties and agreements dedicated to combating this global threat. Nepal is also member of the Asia pacific Group (APG) and follows the Financial Action Task Force (FATF) recommendations. FATF Recommendations No. 6 and 7 represent crucial action points that should be prioritized by Ministry of Home Affairs. Given this scenario, Nepal has incorporated provisions in its legal frameworks and regulations to prevent terrorism, and the financing of terrorism and proliferation, including measures for asset freezing, arms embargoes, and travel bans. The legal system encompasses financial sectors, regulatory authorities, reporting entities, and relevant ministries to effectively designate, identify and detect, prevent (including freezing), investigate, and prosecute individuals, groups, or entities engaged in such activities.

The Asset (Money) Laundering Prevention Act 2008 (ALPA) and the Asset (Money) Laundering Prevention Rules 2024 (ALPR 2024) include provisions for preventing and investigating terrorism financing and proliferation financing, with specific measures for targeted financial sanctions (TFS) in compliance with UN-designations or sanctions lists, as well as foreign and domestic sanction lists. Nepal's action plan (2024-2029) has also created some actions and activities to be carried out by Ministry of Home Affairs (MOHA) with support of Counter Terrorism Mechanism for the effective implementation of UN sanction lists. The TFS regime requires a multi-agency approach, necessitating cooperation, coordination, and information sharing among various entities. However, its implementation has become challenging and confusing for stakeholders. To address these difficulties and ensure the effective and efficient application of TFS measures, developing an implementation procedure for relevant authorities and public dissemination has become essential.

In this regard, the Ministry of Home Affairs has issued this IMPLEMENTATION PROCEDURE OF TARGETED FINANCIAL SANCTIONS (TFS) RELATED TO TERRORIST FINANCING AND PROLIFERATION FINANCING with the power entrusted in the section 44G, 47 of the Asset (Money) Laundering Prevention Act, 2008, and Rule 99 of the Asset (Money) Laundering Prevention Rules, 2024. Non-compliance with the procedure shall be punishable under sections 29K., 7V, and 30 of the ALPA.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Overview of Targeted Financial Sanctions

The United Nations Security Council (UNSC) is one of the six principal organs of the UN and has primary responsibility for the maintenance of international peace and security. It has 15 Members. Under the Charter of the UN, all Member States are obligated to comply with the UNSC Resolutions (UNSCRs).

The UNSC holds the capacity to take action seeking to maintain or restore international peace and security under Article 41 of Chapter VII of the Charter of the UN by imposing sanctioning measures. These measures encompass a broad range of enforcement options that do not involve the authorization of the use of armed force, including interruption of economic relations, international communications, and diplomatic relations.

The Security Council sanctions regimes focus mainly on supporting the settlement of political conflicts, nuclear non-proliferation, and counterterrorism. These regimes include measures ranging from comprehensive economic and trade sanctions to more targeted measures such as arms embargoes, travel bans, and restrictions on dealing with certain financial or commodity transactions.

In addition, the FATF, an inter-governmental body responsible for setting international standards on anti-money laundering (AML) and countering the financing of terrorism (CFT) and proliferation (CPF), under Recommendations 6 and 7 of the FATF Standards, requires the implementation of TFS to comply with the UNSCRs relating to the prevention and suppression of terrorism, terrorism financing, and proliferation financing.

Nepal, as a member of the UN, is committed to implementing UNSCRs, including those related to the UN's sanctions regimes. Consequently, Nepal is implementing relevant UNSCRs on the suppression and combating of terrorism, terrorist financing and countering the financing of proliferation of weapons of mass destruction, in particular relating to TFS through Chapter 6B of ALPA 2008.

The term TFS refers to asset freezing and other financial prohibitions, agreed upon by the UNSC or by the Nepalese government, to prevent funds or other assets from being made available, directly or indirectly, for the benefit of designated individuals, entities, or groups. This guideline is, therefore, focused on the procedures to implement domestic and UN sanctions by all competent authorities and relevant persons.

b. Objectives

The primary objective of this procedure is to help concerned ministries, government officials and competent agencies to effectively implement TFS regime, as a member state of the UN, concerning the specified resolutions (as listed in Definitions) and the domestical designations. This implementation procedure sets out obligations of specific Ministries and competent authorities, as empowered through the legal statute of Nepal. This procedure document further serves as guiding document to understand and implement the TFS regime by the private sector - Financial Institutions (FIs), Designated Non-Financial Business or Professions (DNFBPs), Virtual Asset Service Providers (VASPs)(currently illegal to operate), as necessary.

This procedure does not contain the full text of the resolutions or the full text of relevant laws and regulations of Nepal, which give effect to the resolutions and domestic designations. Each ministry and relevant agency should refer to the complete text of each listed UNSC resolution, domestic listing decisions to better understand the nature and context of resolutions and decisions.

c. Definitions

I. <u>Designation</u>

The term *designation* refers to the identification of a legal or natural person, individual or entity that is subject to TFS pursuant to:

a. UNSCR 1267 (1999) and its successor resolutions;
b. UNSCR 1373 (2001), including the determination that the relevant sanctions will be applied to the person or entity and the public communication of that determination;
c. UNSCR 1718 (2006) and any future successor resolutions;
d. UNSCR 1737 (2006) and any future successor resolutions;
e. UNSCR 2231 (2015) and any future successor resolutions; and
f. any future UNSCR which impose TFS in the context of the financing of proliferation of weapons of mass destruction.

As far as UNSCR 2231 (2015) and any future successor resolutions are concerned, references to "designations" apply equally to "listing".

II. <u>Designated person or entity</u>

The term *designated person or entity* refers to:

a) individual, groups, undertakings and entities designated by the Committee of the Security Council established pursuant to resolution 1267 (1999) and its successor resolutions adopted by the UNSC (the 1267 Committee), as being individuals associated with Al-Qaida, or entities and other groups and undertakings associated with Al-Qaida;

b) individuals, groups, undertakings and entities designated by the Committee of the Security Council established pursuant to resolution 1988 (2011) (the

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

1988 Committee), as being associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan, or entities and other groups and undertakings associated with the Taliban;

c) any natural or legal person or entity designated by jurisdictions or a supra-national jurisdiction pursuant to UNSCR 1373 (2001);

d) any individual, natural or legal person or entity designated for the application of TFS pursuant to UNSCR 1718 (2006) and any future successor resolutions by the Security Council in annexes to the relevant resolutions, or by the Security Council Committee established pursuant to resolution 1718 (2006) (the 1718 Sanctions Committee) pursuant to UNSCR 1718 (2006); and

e) any natural or legal person or entity designated for the application of targeted financial sanctions pursuant to UNSCR 2231 (2015) and any future successor resolutions by the Security Council.

III. <u>Freeze</u>

The term *freeze* means to prohibit the transfer, conversion, disposition or movement of any funds or other assets that are owned or controlled by designated persons or entities on the basis of, and for the duration of the validity of, an action initiated by the United Nations Security Council or in accordance with applicable Security Council resolutions by a competent authority or a court. In all cases, the frozen property, equipment, instrumentalities, funds or other assets remain the property of the natural or legal person(s) that held an interest in them at the time of the freezing and may continue to be administered by third parties, or through other arrangements established by such natural or legal person(s) prior to the initiation of an action under a freezing mechanism, or in accordance with other national provisions. As part of the implementation of a freeze, countries may decide to take control of the property, equipment, instrumentalities, or funds or other assets as a means to protect against flight. This also includes funds or other assets, economic resources, or financial or other related services available directly or indirectly, wholly or jointly, for the benefit of designated persons and entities. All the properties or funds will be frozen without delay including all types of persons under the FATF requirements.

IV. <u>Funds</u>

The term *funds* refer to assets of every kind, whether corporeal or incorporeal, tangible or intangible, movable or immovable, however acquired, and legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets.

V. <u>Assets</u>

The term *assets* refer to any funds, financial resources or economic resources, banking and insurance services, business interests that can be frozen or restricted to prevent designated individuals, groups, or entities from accessing them. Where funds refer to cash, bank deposits, publicly and privately traded securities, bonds, stocks, and other financial instruments. Financial resources refer to any economic benefits derived from

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

assets, including interest, dividends, and other forms of income. Economic resources refer to tangible or intangible property that can be used to obtain funds, including real estate (land, buildings), vehicles, ships, and aircraft, precious metals and stones (gold, diamonds), intellectual property (patents, trademarks) and digital assets. Banking and Insurance Services refer to accounts, loans, credit, and insurance policies linked to designated persons or entities. Business interests refer to shares or stakes in businesses, companies, joint ventures, or partnerships that provide financial benefits.

VI. Targeted Financial Sanctions

The term *targeted financial sanctions* (TFS) means both asset freezing and prohibitions to prevent funds or other assets from being made available, directly or indirectly, for the benefit of designated persons and entities.

VII. Without delay

The phrase *without delay* means, ideally, within a matter of hours of a designation by the United Nations Security Council or its relevant Sanctions Committee (e.g. the 1267 Committee, the 1988 Committee, the 1718 Sanctions Committee). For the purposes of S/RES/1373(2001), the phrase without delay means upon having reasonable grounds, or a reasonable basis, to suspect or believe that a person or entity is a terrorist, one who finances terrorism or a terrorist organization. In both cases, the phrase without delay should be interpreted in the context of the need to prevent the flight or dissipation of funds or other assets which are linked to terrorists, terrorist organizations, those who finance terrorism, and to the financing of proliferation of weapons of mass destruction, and the need for global, concerted action to interdict and disrupt their flow swiftly.

VIII. UNSC and UNSC Resolutions

Under the Charter of the United Nations, the Security Council has primary responsibility for the maintenance of international peace and security. Under the Charter, all Member States are obligated to comply with Council decisions.

The Security Council takes the lead in determining the existence of a threat to the peace or act of aggression. It calls upon the parties to a dispute to settle it by peaceful means and recommends methods of adjustment or terms of settlement. In some cases, the Security Council can resort to imposing sanctions or even authorize the use of force to maintain or restore international peace and security.

United Nations Security Council resolutions are formal expressions of the opinion or will have United Nations Security Council. They generally consist of two clearly defined sections; a preamble part and an operative part. The preamble paragraphs generally present the considerations on the basis of which action is taken, an opinion is expressed or a directive is given – they give background and set the scene for the

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

operative part. The operative paragraphs state the opinion of the Security Council or the action to be taken by UNSC committees or Member States.

IX. Reporting entities (REs)

The entities which carry out the financial transactions by itself and are regulated for AML/CFT, and are (internationally) known as reporting entities. These usually comprise of financial institutions (FIs), designated non-financial businesses and professionals (DNFBPs) and Virtual Assets Service Providers (VASPs) where allowed to operate.

X. Regulators

The regulatory agencies are enlisted in the chapter 6B(I) of this procedure.

XI. Investigative agencies

The agencies which carry out the investigation function of predicate offences enlisted in annexure 1 of ALPA 2008 is called as investigative agencies for the purpose of this procedure. The investigative agencies are enlisted in the chapter 6B(II) of this procedure.

XII. Ex-parte

The term ex-parte means proceeding without prior notification and participation of the affected party.

Chapter 2: Legal Framework, Focal Agencies and Duties & Responsibilities

a. Legal Framework

The following list comprises of all the relevant Laws and Notices issued so far for the purpose of implementing TFS measures.

Title	Issued	Type
Asset (Money) Laundering Prevention Act, 2008	2008	Act
Asset (Money) Laundering Prevention Rule, 2024	2024	Rule
Standing Order for Asset or Fund Freezing	9 September 2013	Notice
Standing Order to Freeze Properties or Funds	6 December 2013	Notice
Standing Order for Asset or Fund Freezing *https://moha.gov.np/post/notice-2313*	25 April 2023	Notice
Standing Order for Asset or Fund Freezing *https://www.moha.gov.np/post/freezing-order*	23 February 2024	Notice
Standing Order for Asset or Fund Freezing *https://www.moha.gov.np/post/asset-or-fund-standing-order*	5 April 2024	Notice

The TFS framework of Nepal incorporates both Terrorist Financing and Proliferation Financing. So, Nepal applies following two lists related to TFS, which consists of both TFS-TF and TFS-PF:

I. UN List

UNSCR 1267 (1999), 1989 (2011), 1988 (2011) and successor resolutions pertaing to TFS-TF UNSCR 1718 (2006), 1737 (2006), 2087 (2013), 2094 (2013), 2270 (2016) and 2231 (2015) pertain to TFS- PF

II. Domestic Terrorist List

UNSCR 1373 (2001) pertaining to TFS-TF

All the UNSCRs on sanctions shall be collectively termed as 'UN Sanctions' and list of person or associations pursuant to UNSCRs 1267 (1999), 1989 (2011), 1988 (2011) and successor resolutions and 1718 (2006), 2087 (2013), 2094 (2013), 2270 (2016) and 2231 (2015) shall be termed as 'UN List'.

b. Coordination, Communication and Monitoring Arrangements

Government of Nepal
Ministry of Home Affairs

The task of implementing actions to fulfill the country's obligations to effectively implement UNSC resolutions concerning terrorism, financing of terrorism and proliferation of weapons of mass destruction falls to a wide range of ministries and agencies.

Focal Agencies

I. Office of the Prime Minister and Council of Minister (OPMCM)

OPMCM has an important role in making decision regarding designation and standing order issuance for requests from the other countries and domestic list once the designation decision has been made based on reasonable grounds by the concerned authority. The evidentiary standard of proof or "reasonable grounds" when deciding whether to make a proposal for designation, are independent of criminal proceedings involving investigation and prosecution.

a. To make a decision of listing and freezing of funds, after receiving listing and freezing request report for the designated person, group or entities from Ministry of Home Affairs.
b. To make a decision of delisting and unfreeze of funds, after receiving delisting and unfreezing request report for the designated person, group or entities from Ministry of Home Affairs.
c. To keep an updated record of designated financial sanction list of person, group and entity.
d. To keep a record of designated financial sanction lists and frozen assets received from regulators, provide instructions to the concerned authorities for sanction list and frozen assets.

II. Coordination Committee (According to Act: Chapter 3B)

Coordination Committee establishes coordination between various bodies and gives necessary suggestions to the Government of Nepal, with respect to prevention of the offences of money laundering and terrorist financing. This committee is coordinated by the Secretary of Office of the Prime Minister and Council of Ministers and is mandated to fulfill following obligations:

i. To prepare policies to be pursued with respect to prevention of the offences of money laundering, terrorist financing, and proliferation and submit the same to the Directives Committee,
ii. To facilitate and coordinate the execution of national and sectoral risk assessments on money laundering, terrorist financing, and proliferation

financing, and present a unified report for submission to the Directives Committee

iii. To implement, or cause to be implemented, the decisions made by the Government of Nepal for prevention of the offences of money laundering and terrorist financing,

iv. To make recommendation to the Government of Nepal for the implementation, as required, of the standards or policies developed by the international organizations to which Nepal is a member, for prevention of the offences of money laundering and terrorist financing,

v. To issue directives to relevant bodies regarding the prevention of money laundering and terrorist financing offenses and to monitor compliance with such directives effectively, ensuring adherence to prescribed measures and legal provisions,

vi. To execute directives issued by the Directive Committee,

vii. To offer necessary recommendations and directives to relevant stakeholders for maintaining functional coordination and cooperation among them,

viii. To formulate and disseminate comprehensive guidelines on record maintenance, safeguarding sensitive information, ensuring confidentiality, and regulating its proper use.

ix. To undertake comprehensive studies, investigations, and control measures concerning financial crimes, and to provide well-informed recommendations to relevant stakeholders for effective mitigation and prevention,

x. To perform activities related to coordination.

III. Ministry of Foreign Affairs

Ministry of Foreign Affairs plays a pivotal role of communicator in TFS implementation. It acts as a primary source of UN sanction information and is designated to relay such information to different agencies. The Ministry of Foreign Affairs should appoint a focal point officer (Gazette 3rd class and above) to carry out roles as prescribed below:

a. To publish the UN Sanction List of designated person, groups and entities received from the UNSCR in their website and inform MoHA electronically of any additions and updates without delay.
b. To disseminate the sanction list requested from other countries to MoHA for further processing without delay.
c. To inform other countries/UNSC via diplomatic channels, information on person, groups or entities designated by Nepal for designation including request for freezing of funds after receiving information of such nature from MoHA, while ensuring the complete identifying and specific information supporting the designation as provided by MoHA will accompany the request.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

d. To inform other countries/UN via diplomatic channels information on delisting of individuals, groups or organizations designated by Nepal including request for unfreezing of funds after receiving information of such nature from MoHA.
e. To receive an application delisting designation as per ALPA section 29(E & F) and forward the application to MoHA.
f. To forward the application of delisting to UNSC according to ALPR 29(E) received from MoHA.
g. To forward the delisted list to respective country after receiving from MoHA to the foreign designated list.
h. To receive an application from *bona fide* third party for the purpose of delisting and unfreezing and forward it to MoHA.
i. To act as a mediator between UN and Nepalese competent authorities for the implementation of targeted financial sanctions.
j. To carry out all the functions as enlisted in the Act and Regulations.

IV. Ministry of Home Affairs

Ministry of Home Affairs acts as a primary agency for the implementation of TFS. Local Administration and provincial coordination section of MoHA is responsible to carry out the administrative work for the prompt implementation of TFS and other related tasks. According to ALPA 6 'B' and ALPR 2024, Ministry of Home Affairs should carry our roles as prescribed below:

1) Ministry of Home Affairs should develop mechanism and reliable procedure to investigate list received as per ALPA 29 F. In addition, MoHA should develop a reliable software/mechanism for receiving the sanction list , disseminating the TFS list to the regulators and reporting entities including DNFBPs and receive a feedback from concerned agencies regarding the TFS list.
2) Ministry of Home Affairs should collect or solicit information to identify persons and entities that meet designation criteria pursuant to R63(2) ALPR 2024 from all competent agencies by sending targeted requests through the necessary IT systems to provide available information for designation purposes.
3) Ministry of Home Affairs should develop a mechanism regarding delisting measures as per Section 29H of ALPA, disseminating the delisting list to the regulators and reporting entities including DNFBPs as well as publish notice in its website.

i. In case of UN Sanction List

a. To upload the UN sanction list received from MoFA in their website along with Standing Order to freeze the funds and disseminate using the quickest channel possible about the list and freezing order to regulatory entities, reporting entities, investigative agencies and DNFBPs without delay.

b. Upon receiving an application for delisting through MoFA, MoHA to carry out the investigation as stated in ALPR- Rule 67.
c. To propose for delisting and unfreezing to OPMCM (cabinet), if MoHA finds reasons and grounds in its investigation, not to be included in the designation list.
d. To investigate the application received through MoFA for the purpose of delisting on the details of applicant, whether or not the applicant has been listed.
e. To request the related agency under UNO through the MoFA to delist the name, if the applicant is under the UN designation list pursuant to decision made by OPMCM (cabinet).
f. To receive an application of designated persons, group or entities through MoFA in the case of False positive and investigate whether or not the application is valid.

ii. In case of list received from Other Countries

a. To investigate the list received from the other countries for possible sanctions and freeze based on "reasonable grounds" and "reasonable basis" not exceeding three days upon receiving the list from MoFA.
b. Upon the completion of investigation and establishment of "reasonable grounds" and "reasonable basis" for sanction, propose the sanction list for freezing of the funds to Government of Nepal (OPMCM).
c. To publish the designated list in their website and disseminate using the quickest channel possible about the list to regulatory and investigative agencies without delay after the decision made by OPMCM.
d. To inform the respective foreign countries through the MoFA in the listing and delisting of the requested sanction list as per section 29F after decision made by the OPMCM.

iii. In case of designation made by Government of Nepal

After investigation if "reasonable grounds" and "reasonable basis" can be established for the possible terrorist financing (as per UNSCR 1373), the individual, groups and organization should be proposed for listing and freezing of the funds to OPMCM (cabinet).

a. To publish the designated list in their website and disseminate using the quickest channel possible about the list to regulatory and investigative agencies without delay.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

b. To send the domestic sanction list to UN through MoFA for the designation of people, group or entities according UN resolutions.
c. To request another country to give effect to possible sanctions and freezing actions where necessary, while providing as much identifying and specific information supporting the designation, as possible.
d. Upon receiving the request for delisting, to carry out the investigation as stated in rule 67 of ALPR 2024 and propose for the delisting and unfreezing of funds to OPMCM if found the reasonable grounds for delisting.

The investigative responsibilities undertaken by MoHA pursuant to above paragraph should be carried out by Counter Terrorism Mechanism-Technical Committee.

Structure of Counter Terrorism Mechanism-Technical Committee

Joint secretary, MoHA	Head
Under secretary, OPMCM	Member
Under secretary, MoHA	Member
Under secretary, MoFA	Member
Director, DMLI	Member
Superintendent of Police, Nepal Police	Member
Superintendent of Police, Armed Police Force Nepal	Member
Major, Nepal Army	Member
Representative, FIU	Member
Deputy Superintendent /Inspector, Nepal Police	Member Secretary

Duties and Responsibilities of Counter Terrorism Mechanism-Technical Committee

a. Carry out all the investigation under the jurisdiction of MoHA on the basis of "reasonable grounds" and "reasonable basis".
b. Ensure whether listing/delisting of person, groups or organization pursuant to UNSCR 1373 and request from other countries and freezing/unfreezing of funds should be made effective.
c. Present the report of the investigation back to MoHA (TFS unit) for listing/delisting and freezing/unfreezing.
d. Carry out all of the investigative functions as required by UNSCR 1267/1989, 1988, 1373, 1718, 1737(2006), 2231 and the successor resolutions within the framework of TFS and report to the concerned ministries.

V. Local Administration and provincial coordination section (MoHA)

Duties and Responsibilities

Government of Nepal
Ministry of Home Affairs
...bar, Kathmandu

a. Receive and disseminate UN sanction list or sanction list designated by other countries from MoFA pursuant to provision of ALPA and ALPR.
b. For domestic listing and freezing, receive and disseminate information pursuant to provision of ALPA and ALPR
c. Coordinate and disseminate delisting and unfreezing pursuant to provision of ALPA and ALPR.
d. All administrative functions associated with MoHA related to TFS as provisioned by ALPA, ALPR and TFS procedure.
e. All the investigations under the jurisdiction of MoHA mandated by ALPA Chapter 6B, ALPR and TFS procedure to be referred to Counter Terrorism Mechanism-Technical Committee.
f. Coordination and communication among the ministries and agencies related to TFS implementation and work as focal point of MoHA.

VI. Regulatory Agencies

Regulatory agencies are the key body to monitor, supervise and implement the Targeted Financial Sanctions including freezing funds and assets and figure out the suspicious transactions.

Duties and Responsibilities

The Duties and Responsibilities of regulatory agencies are:

a. According to Section 29E (4) and 29E (6) of ALPA 2008 and ALPR 2024.
b. Receive the designated sanction lists along with the freeze order from MoHA and disseminate the list to their reporting entities for the proper implementation of financial sanction and freezing the funds.
c. Provide Guidance and support to their reporting entities for the proper implementation of the Targeted Financial Sanctions.
d. Monitor and supervise their reporting entities about the implementation of financial sanctions and the status of Assets/Fund freeze.
e. Follow the guidance and orders related to TFS issued by MoHA.
f. Operate ex parte against a person or entity who has been identified and whose (proposal for) designation is being considered. (FATF 6.3)

VII. Investigative Agencies

Investigative agencies are key body to investigate predicate offense based on money-laundering risk profile while ensuring consistency and

Government of Nepal
Ministry of Home Affairs
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effectiveness in the investigation and prosecution of high-risk financial crimes.

Duties and Responsibilities

a. To inform MoHA for the financial sanction to people, group or entities if the reasonable grounds and basis according to UNSCRs, ALPR 2024 and this procedure during the process of any type of investigation.
b. To verify the designated sanction list with the suspects, offenders and fugitives during the course of investigation and if positive matches are found, the information shall be informed to MoHA.
c. To support MoHA, regulators and reporting entities to seize, recover and freeze of funds upon the request from concerned authorities.
d. To maintain integrated record of instruments and proceeds of crimes after seizing and freezing.

VIII. Department of Immigration

Department of Immigration has the primary role to ban travel for designated groups or individuals. Department of immigration should prohibit, travel of any person included in UN Sanction List in Nepal along with Security Council resolution 1718 (2006) and any future successor resolutions. If the Sanction List is approved by the Government of Nepal, received from the foreign countries then the individuals or groups should be prohibited to travel in Nepal.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Chapter 3: Identification and Designation

Ministry of Home Affairs (MoHA) has the primary responsibility for proposing persons or entities to the UN Sanctions and implementing Targeted Financial Sanctions within Nepal.

a. UN List

The mechanisms for identifying targets for designation shall be based on the designation criteria set out in relevant UNSCRs as elaborated in Annexure 1. MoHA periodically issues order in order to implement UN Sanctions pursuant to Section 29E of ALPA and it is expected that competent authorities regularly check such order for necessary implementation.

b. Domestic Terrorist List

The mechanisms for identifying targets for designation shall be based on relevant UNSCR 1373 as elaborated in Annexure 1. Approval of Government of Nepal shall be required in order to affirm the designation. MoHA periodically issues order in order to implement Domestic Terrorist list pursuant to Section 29F of ALPA and it is expected that competent authorities regularly check such order for necessary implementation.

Mechanism for designation criteria for Domestic Terrorist List

I. Identification mechanism:

a. In case of primary investigation or investigation of registered cases in a jurisdiction pertaining to the crime having an element of terrorism or terrorist financing, the district security committee under the Chief District Officer should refer to the Ministry of Home for listing and freezing of funds of person, groups or organization or of legal and natural person associated with/ acting on behalf of the organization along with "reasonable grounds" and "reasonable basis.

b. The district security committee should also provide the additional details of the ongoing investigation and status of the registered case associated with such person, groups or organization.

c. As per ALPR rule 63(1) Any person, institution or agency, if it finds information in the course of its business or has reasonable grounds to believe that, a person, group or organization deserves designation in the pursuant of Chapter 6B of the ALPA, shall immediately inform the MoHA.

d. Investigation agencies, in the course of their investigation based on the information received from FIU, financial institutions, and other agencies on matter under their jurisdiction finds "reasonable grounds" and "reasonable basis" for listing and freezing of funds of person, groups or organization, should refer MoHA for listing and freezing of funds.

e. MoHA shall receive information from both formal and informal sources in ***classified channel.***

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

II. Designation criteria

MoHA should consider the following areas before submitting report to the Government of Nepal and designating person/group/entities pursuant to ALPA 29f.

a. According to ALPR 2024, R63(2)
b. UNHCR 1373 criteria and its successor resolutions
c. Negative impact on not freezing assets promptly
d. Financial transactions, communications and any other link with national and international terrorist group/people/entities conducting terrorist activities.

III. Request to UN for designation

In order to request for designation of a person or organization to be incorporated in the UN List, MoHA through MoFA shall provide as much relevant information as possible on the proposed name, a statement of case with detail on basis for listing and specify whether Nepal's status as a designating state may or may not be made known, which shall be clarified while designation is proposed.

In order to implement UN Sanctions, procedures and standard forms of listing as adopted by the relevant committee shall be followed. The standard forms are available in Annexure 2 and 3.

Procedures for designation shall be applied based on evidentiary standard of proof of "reasonable grounds" or "reasonable basis" when deciding whether or not to make a proposal for designation. Such proposals for designations shall not be conditional upon the existence of criminal proceedings.

IV. Request to/from other country for designation

In order to request another country to give effect to the actions initiated under the freezing mechanisms, as much identifying information as possible shall be provided along with specific information supporting the designation. Similarly, if any other country provides information or requests Nepal for designating the person or organization, the such decision shall be made by the Government of Nepal after the necessary inquiry made by MoHA according to ALPR R63(4) and looking at following criteria including designation criteria set forth in UNSCR 1373:

a. Whether the request is compatible with the laws of the requesting country

b. Whether any reference/fact is disclosed about the existence or availability of such person, group or organization, or assets or funds of such person, group or organization in Nepal,
c. Whether the request is compatible with prevailing Nepalese laws
d. Whether the person, group or organization of whom assets or funds requested to freeze exists or not in Nepal.

The Ministry of Home Affairs and Counter Terrorism Mechanism-Technical Committee as a legal authorities will devise necessary procedures or mechanisms to collect or solicit information to identify persons, group or entities that, based on reasonable grounds, or a reasonable basis to suspect or believe, meet the criteria for designation; and operate ex parte against a person or entity who has been identified and whose (proposal for) designation is being considered.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Chapter 4: Freezing

For the effective implementation of Targeted Financial Sanctions, the prompt dissemination of freezing orders is imperative. The Ministry of Home Affairs (MoHA) is mandated to issue such orders whenever individuals or entities are newly designated under the relevant United Nations Security Council Resolutions (UNSCRs), as stipulated in Sections 29E and 29F of the Asset (Money) Laundering Prevention Act (ALPA). The freezing order will cover future changes and amendments to designations

I. Identification of assets and funds

The reporting entities and government agencies obligable for recording and monitoring of funds/assets should identify and freeze the funds and assets after getting an information about freezing funds and assets of (according to UNSCR 1273/1999, 1998, 1373) designated persons/groups/entities disseminated by MoHA. The financial institutions, DNFBPs, SEBON, office of land and revenue, office of company registrar, any agencies or entities having the records and capable to freeze the assets and other government agencies should freeze the funds and assets immediately after issuance of the freeze order by MoHA, or MOHA publishing changes and amendments to standing designations. The regulatory agencies should guide, supervise and monitor the freezing activities performed by reporting entities. The mechanism for identification of assets and funds of the designated persons/groups/entities should be developed by the regulatory and reporting entities for prompt freezing. The funds must be freeze immediately. Immediate freezing implies completing the entire process, from enlisting by the government pursuant to UNSCR 1373 or by the UN pursuant to UNSCR 1267 and UNSCR 1988 to freezing, within 24 hours.

II. Freezing order

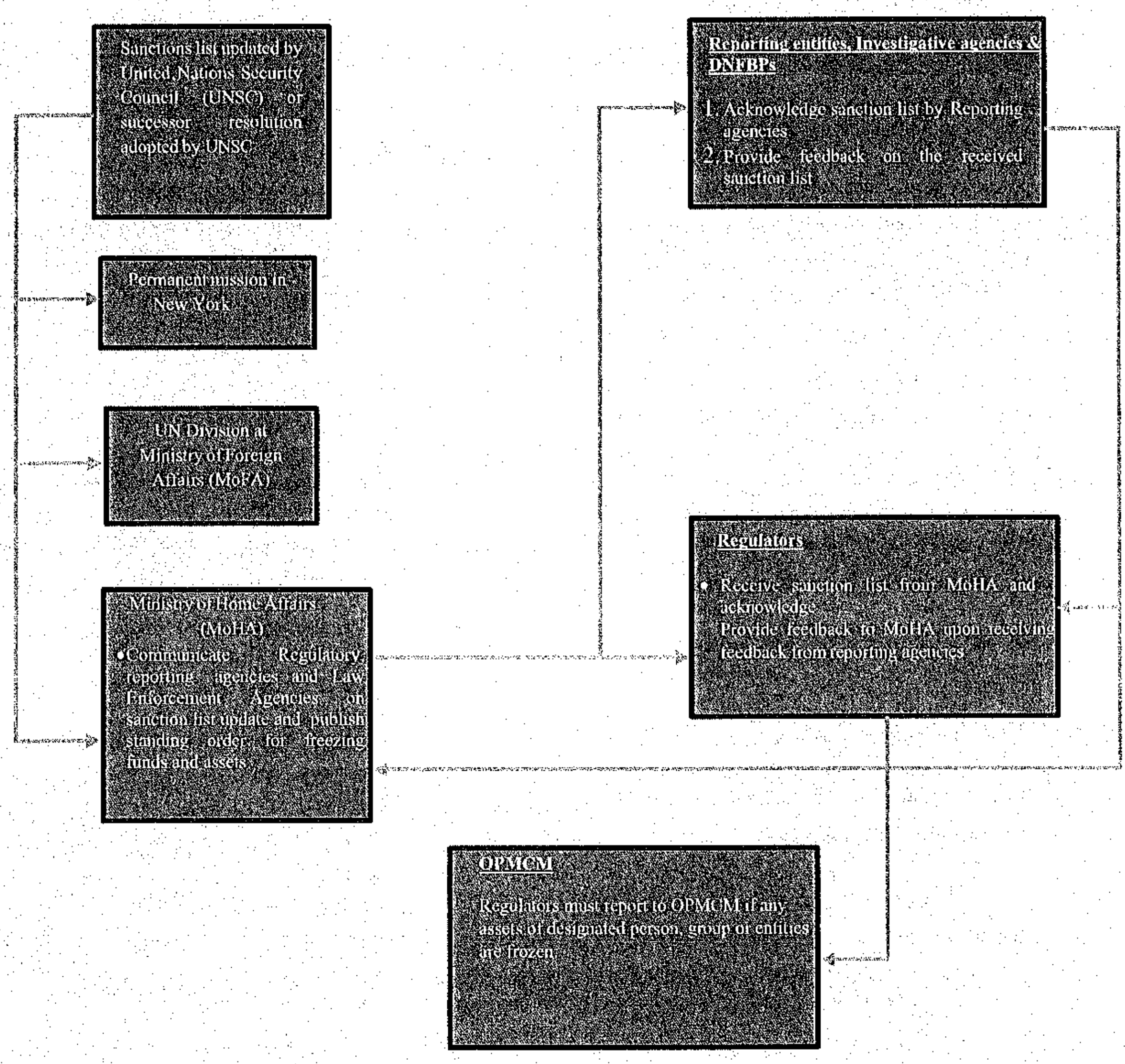
a. For UN List

For implementing freezing order relating to UN List, relevant decision by UNSCR is received by the Permanent Mission to UN in New York which consists of staff from MoFA. MoFA receives the information from Permanent Mission to UN through email or other quicker and appropriate means. MoFA provides the information to MoHA, which ultimately disseminates the information through its mechanism.

It shall be the joint responsibility of MoHA and MoFA to ensure that time between addition of designated person or entity through resolution by UNSC or relevant committee and dissemination of freezing order by MoHA shall not be more than 18 hours.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Flow chart for dissemination of UN Sanction List with Standing order to freeze the funds and assets.



Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

b. For Domestic List

MoHA shall issue the domestic list after approval from Government of Nepal that meet the designation criteria as per UNSCR 1373. While doing so, freezing order shall also be accompanied.

c. Freezing of assets and fund

It shall be the responsibility of all natural persons, legal persons, relevant agency or reporting entity to immediately freeze the assets or fund of the designated person, group or entity. In doing so, no prior notice to designated person, group or entity is essential, as per Section 29G of ALPA. Immediate freezing implies completing the entire process, for both UN Sanction list and list under UNSCR 1373, from enlisting to freezing, within 24 hours of the request being generated.

Such obligation to freeze extends to:

(i) all funds or other assets that are owned or controlled by the designated person or entity, and not just those that can be tied to a particular terrorist act, plot or threat or to particular act, plot or threat of proliferation;
(ii) those funds or other assets that are wholly or jointly owned or controlled, directly or indirectly, by designated persons or entities; and
(iii) the funds or other assets derived or generated from funds or other assets owned or controlled directly or indirectly by designated persons or entities,
(iv) funds or other assets of persons and entities acting on behalf of, or at the direction of, designated persons or entities.
(v) to prohibit any person from making any funds or other assets or economic resources or financial or other related services for the benefit of the designated persons.

All natural persons (including national and foreign person existing in Nepal), legal persons (including national and foreign entity operating within Nepal), relevant agency and reporting agencies are prohibited from making any funds or other assets, economic resources, or financial or other related services, available, directly or indirectly, wholly or jointly, for the benefit of designated persons and entities; entities owned or controlled, directly or indirectly, by designated persons or entities; and persons and entities acting on behalf of, or at the direction of, designated persons or entities, unless licensed, authorized or otherwise notified in accordance with the relevant UNSCRs.

In order to effectively implement freezing order, an alert / message system, app based system or email subscription system may be initiated by the MoHA that can be subscribed by all relevant parties that need to adhere to the freezing order of MoHA. Further, it shall be the responsibility of all FIs and DNFBPs to take immediate screening action as soon as such notification

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

is received. In case of a positive match, freezing order should be immediately implemented. Regulatory bodies may issue additional guidance to FIs and DNFBPs regarding freezing and reporting mechanisms. Immediate reporting of assets frozen or actions taken in compliance with the prohibition requirements of the relevant UNSCRs, including attempted transactions shall be done by FIs and DNFBPs.

III. <u>Monitoring and ensuring compliance</u>

As stipulated in Section 29J of ALPA and Rule 70 of ALPR, following monitoring modality has been mandated:

a. Coordination Committee shall carry out overall monitoring and evaluation of whether the acts and actions regarding TFS have been performed effectively.
b. Concerned ministry shall carry out regular monitoring of whether the concerned bodies if they have effectively performed their obligations.
c. Concerned regulatory body shall carry out regular monitoring of whether the reporting entities under their jurisdiction have effectively performed their relevant obligations.
d. Concerned ministry or regulatory body may undertake inspection, supervision or monitoring regarding implementation of TFS, at least once a year.

The regulatory bodies of the FIs and DNFBPs have the legal capacity to supervise the implementation of TFS. Failing to comply with the TFS obligations shall result in consequences as mentioned in Section 29K of ALPA:

a. FIs and DNFBPs are subject to administrative sanctions as mentioned in Section 7V of ALPA ranging from warning to revocation of license.
b. FIs and Casinos are subject to penalty ranging from NRs 1 million to 50 million.
c. DNFBPs (except Casinos) are subject to penalty ranging from NRs 100,000 to 10 million.
d. Other persons shall be subject to sanctions upto NRs 1 million by MoHA.

IV. <u>Bonafide third party including those action in good faith</u>

Any bona fide third party or bona fide third parties acting in good faith whose interest has been hampered due to the assets or funds frozen due to implementation of TFS may submit his application to the MoHA with a request to unfreeze his properties or funds in the format prescribed in annexure 6. However, bona fide third party who is included in designation list under section 29E i.e. UN List and whose properties or funds have been frozen shall submit his application to the MoHA through MOFA. Other provisions related to bona fide third party shall be carried out according to ALPR R71.

All necessary documents and evidences should be attached while submitting such an application. MoHA shall order the concerned agency to unfreeze the assets or funds up to the limit of the interest of bonafide third party if it is found that claim made by such party is correct. MoHA shall publish notice regarding such order and issue it in its website.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Chapter 5: Delisting, Unfreezing and Providing Access to Frozen Funds or Other Assets

I. Delisting

Delisting measures are applicable as per Section 29H of ALPA and Rule 67, 68, 72 & 73 of ALPR.

a. Designation of UN List

If the individual or entity no longer meets the designation criteria applicable as per the relevant UNSCRs then such person or entity shall be delisted and such notification shall be issued to MoFA, which shall subsequently notify MoHA.

Individuals or entities on the UN List can also challenge their designation by submitting a request to MoFA. MoFA shall facilitate the communication to the Office of the Ombudsperson of the Security Council's relevant Committee (1267/1989 and 1988 Committee). Annexure 5 contains relevant guidance regarding such communication/ request including designations regarding Terrorist and Proliferation Financing.

If UN notifies MoFA regarding de-listing of concerned individual or entity, then MoFA shall provide the information to MoHA and MoHA shall issue notice to regulators and reporting entities for delisting and unfreezing of funds and assets. MoHA should publish the delisting list on its website.—and the information shall be given to UNSCR immediately.

b. Designation under Domestic Terrorist List and Listing made on request of Foreign Country

The name of designated person, group or organization can be removed from the list if:

i. The person, group or organization no longer meets the designation criteria and MoHA suo-motu decides to delist the person, group or organization
ii. As per application received from the person. group or organization contesting that they should not be listed, MoHA carries out necessary review of the application and removes the person from the domestic terrorist list. (The information that should be given by the designated person, group or entity designated under domestic terrorist list for review should provide the details listed in annexure-3)
iii. If the individual or entity is listed due to listing request made by foreign country and was listed in the Domestic Terrorist List, then such individual or entity can provide application along with verifiable documents to MoHA contesting that they should not be on that list. MoHA can request to the foreign country, through MoFA, to conduct review and if foreign country as well as MoHA are satisfied that such person or entity should not be on the stipulated list, such person or entity should be removed from

Government of Nepal
Ministry of Home Affairs
Singha Durbar, Kathmandu

the domestic terrorist list. (The information that should be given by the designated person, group or entity designated as per foreign request for review should provide the details listed in annexure 3.)

iv. If the delisted person/group/entities are found listed due to false positive.

v. If the person/group/entities are not convicted by the court in final hearing.

When such de-listing decision is made, MoHA issues a notice on its website regarding such de-listing.

In either case, the MoHA can use an alert / message system or email subscription system so that all FIs and DNFBPs be notified of the de-listing notice and implement unfreezing measures effectively.

II. Procedure for identification of false positive

If false positive is identified in any type of designation list received as per chapter 6B of ALPA, then delisting and unfreezing should be made immediately upon its confirmation.

Method of verification for de-listing false positive

a. **Data Verification:** Ensuring that the data used for identifying potential terrorists is accurate and up-to-date is crucial. The competent authority will verify the sources of information and cross-reference them with multiple reliable sources.

b. **Red Flags:** Establish criteria for what constitutes suspicious behavior or activities will help in identifying potential false positives. However, the competent authority will make it to ensure that these criteria are based on evidence and not on stereotypes or biases.

c. **Human Oversight:** Implement systems that involve human oversight can help catch errors or inconsistencies that automated systems might miss. The analysts from the competent authority will review cases individually to determine whether there is sufficient evidence to justify as a possible designee.

d. **Privacy Protections:** The competent authority will implement strong privacy protections for individuals who are under suspicion to help prevent the misuse of personal information and reduce the risk of false positives.

e. **Collaboration:** The competent authority will also collaborate with international partners and share information which can help improve the accuracy of designee by providing access to additional data and expertise.

f. **Training and Education:** Provide training and education to law enforcement and intelligence personnel including the officials deputed at the competent authority on how to identify and assess potential threats that can help reduce the likelihood of false positives.

III. Unfreezing

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

When de-listing notification is issued by MoHA, in either case of delisting of designation under UN list or Domestic Terrorist List, unfreezing of assets and funds of previously designated individual or entity shall be included. It shall be the responsibility of all concerned authority to unfreeze the assets and funds.

The unfreezing of funds, financial assets or other economic resources can occur when there is a case of false positive and delisting, with MoHA subsequently authorizing it. If funds and other assets of persons or entities with same or similar name as designated persons or entities are frozen, then such person or entity shall request MoHA regarding their contention with verifiable documents. MoHA shall, upon verification, issue a letter to concerned agency regarding false positive verification and request for unfreezing of funds.

If an individual is listed both on the Domestic Terrorist List and on a UN List, a freeze order should be maintained unless the individual or entity is delisted from both the Domestic Terrorist List and the UN List.

When MOHA takes a decision to unfreeze funds, it must be satisfied that the funds or other assets, when released, will not be used for terrorist purposes.

IV. Publicly known procedure to delist and unfreeze of funds

The clear procedure for delisting and unfreeze of the funds will be published by MoHA and MoFA publicly in their websites after the approval of this procedure. This includes the delisting and unfreezing of funds pursuant to UNSCR 1373.MOHA and MOFA will publish the relevant information included in Annexture 9 in the website.

The procedure for delisting and unfreeze of funds:

a. The designated person/group/entities pursuant to UNSCR 1273/1989 shall give an application including information in annex to MoHA through MoFA.
b. The designated person/group/entities pursuant to UNSCR 1373 shall give an application to MoHA if there are reasonable grounds for delisting, according to annex 2 of ALPR.
c. After receiving the application according to no. 1 and 2, MoHA should verify the application in reference with ALPR 67
d. If the decision in delisting of designated person/group/entities is made by cabinet, MoHA should inform concerned authorities to unfreeze the assets as soon as possible.
e. The information about delisting and unfreeze of fund should be given to delisted person/group/entities by MoHA.

Government of Nepal
Ministry of Home Affairs

Chapter 6: Focal Point Officers

I. Focal points officers from ministries and agencies. MOHA will keep and update the details of focal point officers.

Agencies	Focal Officer	Official Email	Official contact number
OPMCM	Under Secretary		
Ministry of Finance	Under Secretary		
MoFA	Under Secretary: *UN, International Organizations and International Law Division*		
MoHA	Under Secretary: *Security and Coordination Division*		
Ministry of Land Management, Cooperatives and Poverty Alleviation	Under Secretary:		
Financial Intelligence Unit(FIU) Nepal	Deputy Director		

Additional focal points will be incorporated as deemed necessary by the evolving requirements of the Terrorist Financing Surveillance (TFS) regime.

The Focal Points are required to:

a. Facilitate and manage the overall implementation of UNSCR 1267, and its successor resolutions, and UNSCR 1373 within their respective ministries and agencies;
b. Ensure the operational agencies under their respective ministries take appropriate action to implement the UNSC resolutions through integrating requirements into the day-to-day operations of the agencies;
c. Receive updated Sanctions Lists from the International Organizations and International Law Division, MOFA and communicate those lists to Primary Contact Points in their agencies;
d. Communicate matters from the Coordination Committee to the relevant agencies;
e. Ensure regular reporting from their ministries and operational agencies in accordance with the reporting requirements provided for in this guideline;
f. Update the Coordination Committee on levels of implementation of UNSC resolutions and any challenges in implementing the resolutions;

Government of Nepal Ministry of Home Affairs

g. Appoint a Primary Contact Point in the implementing and law enforcement authorities under their jurisdiction and prepare a list of names and contact details of Primary Contact Points and ensure the list remains up-to-date;
h. Identify any implementing authorities, in addition to those listed below (investigation and regulatory authority), for appointment of additional Primary Contact Points;
i. Provide the list of Primary Contact Points to the MOHA and MOFA;
j. Disseminate any updated UNSC Sanctions Lists to Primary Contact Points under their jurisdiction.

II. Additional Primary Contact Points

Additional Primary Contact Points must be appointed in all relevant investigation and regulatory authorities. MOHA will keep and update the details of focal point officers.

a. Regulatory Agencies

Agencies	Primary Contact Point Officer	Official Email	Official contact number
Nepal Rastra Bank	*Officer Level Ranked*		
Security Exchange Board of Nepal	*Officer Level Ranked*		
Insurance Board	*Officer Level Ranked*		
Department of Cooperatives	*Officer Level Ranked*		
Inland Revenue Department	*Officer Level Ranked*		
Ministry of Culture, Tourism and Civil Aviation	*Officer Level Ranked*		
Department of Land Management and Records	*Officer Level Ranked*		
Nepal Notary Public Council	*Officer Level Ranked*		
Institute of Chartered Accountant of Nepal	*Officer Level Ranked*		
Office of Company Registrar	*Officer Level Ranked*		
Nepal Bar Council	*Officer Level Ranked*		
Social Welfare Council	*Officer Level Ranked*		

b. Investigation Agencies:

Agencies	Primary Contact Point Officer	Official Email	Official contact number
Commission of Investigation of Abuse of Authority	*Officer Level Ranked*		
Department of Money Laundering Investigation	*Officer Level Ranked*		
Nepal Police	*Officer Level Ranked*		
Department of Forest and Soil Conservation	*Officer Level Ranked*		

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Department of National Park and Wildlife Preservation	*Officer Level Ranked*		
Department of Foreign Employment	*Officer Level Ranked*		
Narcotics Control Bureau	*Officer Level Ranked*		
Department of Revenue Investigation	*Officer Level Ranked*		
Department of Customs	*Officer Level Ranked*		
National Investigation Department	*Officer Level Ranked*		
Department of Immigration	*Officer Level Ranked*		

<u>Role of Primary Contact Points to:</u>

i. Ensure all operational areas are fully aware of their respective roles in implementing UNSC resolutions, including knowledge and understanding of the relevant laws, rules and orders as well as these guidelines;

ii. Ensure up-to-date copies of the UNSC Sanctions Lists are available and being utilized within the operational areas of their agency;

iii. Arrange for the incorporation of electronic version of the Sanctions List into the relevant database and websites within their agency, where applicable;

iv. Inform their Focal Point of any specific cases of identification of persons or entities on the UNSC Sanctions Lists, including any actions taken concerning financial sanctions, travel ban or arms embargo, or other sanctions;

v. Inform their respective Focal Point of challenges and impediments to implementation of the UNSC resolutions;

III. Ombudsperson at UN

Individuals, groups, undertakings or entities seeking to be removed from the Security Council's Sanctions List can submit their request for delisting to an independent and impartial Ombudsperson (who acts as the focal point as per UNSCR 1730), appointed by the Secretary-General.

Office of the Ombudsperson.

United Nation

UN PO Box 20

New York, NY 10017

United States of America

Tel: +1 212 963 2671

E-mail: ombudsperson@un.org

Chapter 7: Exemptions

A designated individuals or entity may have been provided with the exemptions of his/her assets or funds in terms of basic expenses such as payments for food, rent or mortgage, medicine and medical care, health insurance, taxes and professional fees for maintenance of frozen funds or for extraordinary expenses approved by the MoHA or Government of Nepal based on the application of designated person or entity.

a. Basic expenses

Access to frozen funds to designated individuals or entities that is necessary for basic expenses, payment of certain types of fees, expenses and service charges or for extraordinary expenses as set out in UNSCR 1452 and any successor resolutions shall be provided based on Rule 72 of ALPR.

Designation individual or entities shall submit an application to the MoHA with a request to provide access to the frozen properties or funds required for the basic humanitarian need of his and his family members in the format as prescribed in Annexure 7.

The Government of Nepal shall provide access to frozen funds for the basic expenses if considered necessary upon the request of designated persons or entity. Basic expenses refer to:

i. Expenses for daily foodstuffs, shelter and health,
ii. Expenses for taxes and other fees for electricity, telephone, drinking water and public utilities to per paid as per the prevailing laws,
iii. Expenses for the education of minors
iv. Expenses for legal protection and judicial proceedings
v. Expenses for due protection of frozen properties or funds.

b. Extraordinary expenses

The Government of Nepal shall provide access to frozen funds for the extraordinary expenses if considered necessary upon the request of designated persons or entity, provided that such determination has been notified by the Government of Nepal to the Committee established pursuant to Resolution 1267 and has been approved by the Committee.

c. Contractual Obligations

With regard to contracts, agreements or obligations that arose prior to the date on which accounts became subject to TFS:

i. In addition to the accounts frozen pursuant to UNSCRs 1718 or 2231 of interests or other earnings due on those accounts or payments due under contracts, agreements or obligations that arose prior to the date on which those accounts became subject to the provisions of this resolution is permitted. However, any such interest, other earnings and payments shall continue to be subject to these provisions and are frozen; and

ii. Freezing action taken pursuant to UNSCR 1737 and continued by UNSCR 2231, or taken pursuant to UNSCR 2231 should not prevent a designated person or entity from making any payment due under a contract entered into prior to the listing of such person or entity, provided that:

   a. MoHA determines that the contract is not related to any of the prohibited items, materials, equipment, goods, technologies, assistance, training, financial assistance, investment, brokering or services referred to in UNSCR 2231 and any future successor resolutions;
   b. MoHA determines that the payment is not directly or indirectly received by a person or entity subject to the measures in paragraph 6 of Annex B to UNSCR 2231; and
   c. MoHA, through MoFA, issues prior notification to the Security Council of the intention to make or receive such payments or to authorize, where appropriate, the unfreezing of funds, other financial assets or economic resources for this purpose, 10 working days prior to such authorization.

d. Application process for exemptions

The application will be processed with the following manners:

i. MoHA will receive the application from designated person or entity in the format provided in the annexure 8 directly or through a reporting agency,

ii. The application will be assessed by MoHA to determine whether the expenses is reasonable to be provided in all circumstances.

iii. MoHA will prepare a report and recommendation for the concerned authorities to release the required funds or assets.

iv. MoHA may issue an order to the concerned authorities to release reasonable and necessary frozen assets or funds for the above-mentioned purposes after review over the application if the individual or entity has been listed in the Domestic Terrorist List.

v. MoHA, through MoFA, shall request relevant committee of UN Security Council if the individual or entity is listed in the UN List regarding access to assets or funds for basic and extraordinary expenses. The decision to release appropriate funds shall be in accordance with the decision made by the UN Office. However, if no response is received within 10 days of receipt of such request by the UN, MoHA may issue an order to the concerned authorities to release reasonable assets or funds for basic, extraordinary and expenses related to contractual obligations.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

vi. However, when MOHA takes a decision to unfreeze funds it must be satisfied that the funds or other assets, when released, will not be used for terrorist purposes.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Chapter 8: Miscellaneous

a. Linkage of domestic laws

i. The relevant existing laws of the country linked with TFS implementation shall also be applied according to this procedure.

b. Implementation of international treaties, conventions, recommendations, suggestions and UN resolutions.

i. The government of Nepal shall also implement all the international treaties, conventions, recommendations, suggestions and UN resolutions obtained after the approval of this procedure regarding TFS till those are incorporated in national laws.
ii. This implementation procedure shall be amended incorporating those provisions provided by the international entities regarding TFS and shall be applied accordingly.

c. Amendment

i. MOHA can review and amend this procedure when necessary.
ii. Any provision in this procedure contradicting the prevailing laws is deemed void.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Annexures

Annexure 1: Designation Criteria

The criteria for designation as specified in the relevant United Nations Security Council resolutions are:

(a) Security Council resolutions 1267 (1999), 1989 (2011) and their successor resolutions:

(i) any person or entity participating in the financing, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of; supplying, selling or transferring arms and related materiel to; recruiting for; or otherwise supporting acts or activities of Al Qaida, or any cell, affiliate, splinter group or derivative thereof; or

(ii) (ii) any undertaking owned or controlled, directly or indirectly, by any person or entity designated under subsection (a)(i) above, or by persons acting on their behalf or at their direction.

(b) Security Council resolutions 1267 (1999), 1988 (2011) and their successor resolutions:

(i) any person or entity participating in the financing, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of; supplying, selling or transferring arms and related material to; recruiting for; or otherwise supporting acts or activities of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan; or

(ii) any undertaking owned or controlled, directly or indirectly, by any person or entity designated under subsection (b)(i) above, or by persons acting on their behalf or at their direction.

(c) Security Council resolution 1373 (2001):

(i) any person or entity who commits or attempts to commit terrorist acts, or who participates in or facilitates the commission of terrorist acts;

(ii) any entity owned or controlled, directly or indirectly, by any person or entity designated under subsection (c) (i) above; or

(iii) any person or entity acting on behalf of, or at the direction of, any person or entity designated under subsection (c) (i) above

(d) On DPRK - Resolutions 1718 (2006), 2087 (2013), 2094 (2013) and 2270 (2016):

(i) any person or entity engaged in the Democratic People's Republic of Korea (DPRK)'s nuclear-related, other WMD-related and ballistic missile-related programmes;

(ii) any person or entity providing support for DPRK's nuclear-related, other WMD related and ballistic missile-related programmes, including through illicit means;

(iii) any person or entity acting on behalf of or at the direction of any person or entity designated under subsection (d)(i) or subsection (d)(ii);

(iv) any legal person or entity owned or controlled, directly or indirectly, by any person or entity designated under subsection (d)(i) or subsection (d)(ii);

(v) any person or entity that has assisted in the evasion of sanctions or in violating the provisions of resolutions 1718 (2006) and 1874 (2009);

(vi) any person or entity that has contributed to DPRK's prohibited programmes, activities prohibited by the DPRK-related resolutions, or to the evasion of provisions; or

(vii) any entity of the Government of the DPRK or the Worker's Party of Korea, or person or entity acting on their behalf or at their direction, or by any entity owned or controlled by them, that countries determine are associated with the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolution 1718 (2006) and successor resolutions.

Government of Nepal
Ministry of Home Affairs

Annexure 2: UN Designation Form, UN De-listing form
Extract from 1267 Committee Guidelines

6. Listing

a. The Committee shall consider including new names based on submissions received from Member States in line with paragraph 10 of resolution 2083 (2012).
b. Member States are encouraged to establish a national mechanism or procedure to identify and assess names for inclusion on the Al-Qaida Sanctions List and to appoint a national contact point concerning entries on that list according to national laws and procedures.
c. Before a Member State proposes a name for inclusion on the Al-Qaida Sanctions List, it is strongly encouraged, to the extent possible, to approach the State(s) of residence and/or nationality of the individual or entity concerned to seek additional information.
d. States are advised to submit names as soon as they gather the supporting evidence of association with Al-Qaida. A criminal charge or conviction is not a prerequisite for listing as the sanctions are intended to be preventive in nature.
e. The Committee will consider proposed listings on the basis of the "associated with" standard described in paragraphs 2 and 3 of resolution 2083 (2012).
f. When submitting names of groups, undertakings or entities, States are encouraged, if they deem it appropriate, to propose for listing at the same time the names of the individuals responsible for the decisions of the group, undertaking or entity concerned.
g. When proposing names for inclusion on the Al-Qaida Sanctions List, Member States should use the standard forms for listing available on the Committee's website1 and shall include as much relevant and specific information as possible on a proposed name, in particular sufficient identifying information to allow for the accurate and positive identification of the individual, group, undertaking or entity concerned by competent authorities, and to the extent possible, information required by INTERPOL to issue a Special Notice, including:
For individuals: family name/surname, given names, other relevant names, date of birth, place of birth, nationality/citizenship, gender, aliases, employment/occupation, State(s) of residence, passport or travel document and national identification number, current and previous addresses, current status before law enforcement authorities (e.g. wanted, detained, convicted), location;
For groups, undertakings or entities: name, registered name, short name(s)/acronyms, and other names by which it is known or was formerly known, address, headquarters, branches/subsidiaries, organizational linkages, parent company, nature of business or activity, State(s) of main activity, leadership/management, registration (incorporation) or other identification number , status (e.g. in liquidation, terminated), website addresses.

The Monitoring Team shall be prepared to assist Member States in this regard.

h. Member States shall provide a detailed statement of case in support of the proposed listing that forms the basis or justification for the listing in accordance with the relevant resolutions, including paragraph 11 of resolution 2083 (2012). The statement of case should provide as much detail as possible on the basis(es) for listing, including but not limited to: (1) specific information demonstrating that the individual/entity meets the criteria for listing set out in paragraphs 2 and 3 of resolution 2083 (2012); (2) details of any connection with a currently listed individual or entity; (3) information about any other relevant acts or activities of the individual/entity; (4) the nature of the supporting evidence (e.g. intelligence, law enforcement, judicial, open source information, admissions by subject, etc.); (5) additional information or documents supporting the submission as well as information about relevant court cases and proceedings. The statement of case shall be releasable, upon request, except for the parts the designating State identifies as being confidential to

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

the Committee, and may be used to develop the narrative summary of reasons for listing described in section 9 below.

i. Member States proposing a new designation, as well as Member States that have proposed names for inclusion on the Al-Qaida Sanctions List before the adoption of resolution 2083 (2012), shall specify if the Committee, or the Secretariat on its behalf, or the Ombudsperson may not make known their status as designating State(s).

j. Member States that want to be considered co-designating States should inform the Chair in writing when the listing request is submitted and before the listing request is circulated to the members of the Committee for consideration.

k. Member States that want to be considered co-sponsors should inform the Committee in writing before the Committee has decided on the listing request.

l. Member States who co-sponsored listing requests that were submitted to the Committee before the adoption of resolution 1989 (2011) will continue to be considered designating States, including in the application of paragraphs 26 and 27 of resolution 2083 (2012).

m. Co-sponsors of listing requests that were submitted to the Committee after the adoption of resolution 1989 (2011) will not be considered designating states in the application of paragraphs 26 and 27 of resolution 2083 (2012). Co-sponsors will continue to be addressed, as appropriate, in the context of the Committee's reviews of the Al-Qaida Sanctions List, including in the triennial review described in paragraph 42 of resolution 2083 (2012).\

n. The Committee will consider listing requests within a period of ten full working days, which may be shortened, if requested by a Member State, at the Chair's discretion, for emergency and time-sensitive listings. If a proposal for listing is not approved within the decision-making period, the Committee, or the Secretariat on its behalf, will notify the submitting State on the status of the request. The Secretariat shall inform the Committee Members the same working day of any holds or objections received before 5:30 p.m. Holds or objections received after 5:30 p.m. shall be communicated to the Committee Members the following working day. If no objection is received by the end of the no-objection period, the decision will be deemed adopted. The Secretariat shall take all the necessary steps to update the Al-Qaida Sanctions List the following day, and inform the State(s) concerned about the Committee's decision.

o. Committee Members and the Monitoring Team are called upon to share with the Committee any information available regarding a listing request to help inform the Committee's decision and provide additional material for the narrative summary of reasons for listing.

p. Upon request of a Committee Member, listing requests may be placed on the Committee's agenda for more detailed consideration. If deemed necessary, the Committee may request additional background information from the Monitoring Team and/or the designating State(s). Following consideration by the Committee, the Chair shall circulate the listing request under the written decision-making procedure as described in Sections 4 paragraph (b) and section 6 paragraph (n) above.

q. On the same day that a name is added to the Al-Qaida Sanctions List, the Committee shall, with the assistance of the Monitoring Team and in coordination with the relevant designating State(s), make accessible on the Committee's website a narrative summary of reasons for listing for the corresponding entry or entries. In addition to the narrative summary, the Secretariat shall, promptly after a name is added to the Al-Qaida Sanctions List, publish on the Committee's website all relevant publicly releasable information, where available.

r. In its communication informing Member States of new entries to the Al-Qaida Sanctions List, the Secretariat shall include the narrative summary of reasons for listing.

s. Unless the Committee decides otherwise, the Secretariat shall request INTERPOL to issue, where feasible, an INTERPOL-United Nations Security Council Special Notice for each name added to the list.

t. As soon as a name is added to the Al-Qaida Sanctions List, the Committee shall request the Secretariat to communicate the decision in writing to the Permanent Mission of the country or countries where the individual or entity is believed to be located and, in the case of individuals, the country of which the person is a national (to the extent this information is known.

u. The Secretariat shall include with this communication a copy of the narrative summary of reasons for listing, a description of the effects of designation, as set forth in the relevant resolutions, the Committee's procedures for considering delisting requests, including the possibility of submitting delisting requests to the Office of the Ombudsperson in accordance with paragraphs 19 and annex II of resolution 2083 (2012), and the provisions for available exemptions.

v. The letter shall remind States receiving such notification that they are required to take, in accordance with their domestic laws and practices, all possible measures to notify or inform in a timely manner the newly listed individuals and entities on the Al-Qaida Sanctions List of the measures imposed on them, any information on reasons for listing available on the Committee's website as well as all the 41information provided by the Secretariat in the abovementioned communication.

w. In addition, in its communication, the Secretariat shall invite States to provide in accordance with national law details on measures taken to implement the sanctions.

x. Where the address is known, and after the Secretariat has officially notified the Permanent Mission of the State(s) concerned, the Ombudsperson shall notify individuals or entities about the status of their listing. The Ombudsperson shall include all additional information as described in paragraph (u) above.

CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE COMMITTEE FOR LISTING OF ENTITIES

(Basic version)

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1267/listing.shtml

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the entity concerned.

Full Name (in Latin alphabet, as to appear on the List)		
Spelling variations or other transliterations if used in official documents		
Original script (as to appear on the List)	**Indicate script:** Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Other script(s) (if used officially)	**Indicate script:** Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Short name / acronym(s)		

Alias, also-known-as, formerly-known-as	Details (spelling in Latin)	
	Short Name/Acronym(s)	
	Original script Indicate script	Arabic ☐ Chinese ☐ Dari ☐ Pashtu ☐ Russian ☐ Urdu ☐ Other ☐ Which:
	Type	Previous legal name ☐ Previous registered name ☐ Also-known-as ☐ Formerly-known-as ☐ Other ☐ Which:
	Additional information (dates)	

Registration and other identification numbers	Number	
	Type	Business registration number ☐ Tax identification number ☐ Employer number ☐ Social security number ☐ Other ☐ Which:
	Issuing authority	
	Other numbers (indicate details as above)	
Registered Address	Current	
	Previous, if any	
Date of establishment (DD/MM/YYYY) Additional information		Day Month Year
Place of establishment		
State(s) of main activity		
Address(es) in state(s) of main activity		
Please state any specific limitations relevant to the sanctions implementation (see explanatory notes)		

I.B - OTHER IDENTIFYING INFORMATION THAT MAY ALSO APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the following information in order to facilitate the identification of the entity concerned.

Government of Nepal
Ministry of Home Affairs
...bar, Kathmandu

Type of entity		Registered company / business Registered non-profit organization Registered group or affiliation Illegal paramilitary / armed group Other Which: Unregistered company / business Unregistered non-profit organization Unregistered group or affiliation Criminal group
Nature of business or activity		Criminal activity Trust / financing Political activity Legal estate Other Which: Charity / humanitarian assistance Informal / alternative remittance system Internet / telecommunications business Media activity – TV/radio/press/Internet Banking Money wire / exchange Paramilitary activity Training / recruitment
Location	**Current**	
	Previous (add dates)	
Branches / subsidiaries (use annex B to report details)		
Parent company (use annex B to report details)		
Leadership and management (use annex C to report details)		
Organizational linkages		
Known assets / location of assets / patterns of provision		
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)		

Status	In liquidation / suspended / terminated / operating license withdrawn	Yes No ....Not Known If yes, please explain:
	Operating under caretaker or equivalent	Yes No ....Not Known If yes, please explain:
	Banned/illegal/clandestine	Yes No ....Not Known If yes, please explain:
	Other	Please explain:
Relevant INTERPOL Notices		Yes No ....Not Known If yes, please explain:

I.C – OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the entity inscribed in section I.A of this form and Al-Qaida, Usama bin Laden and/or the Taliban as set out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the entity is associated with that already appear on the Consolidated List. In the event of the designation of this entity by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).

(a) participating in the financing[1], planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usama bin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
(b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
(c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
(d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name and permanent reference number(s) on Consolidated List (if applicable):
☐ (e) other acts and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name and permanent reference number(s) on Consolidated List (if applicable): • Briefly state the nature of the association:
(f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):

[1] The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs originating particularly in Afghanistan, and theirprecursors (resolution 1904 (2009), para. 9).

III. STATEMENT OF CASE
The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the entity meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the entity proposed for listing and any currently listed individual or entity.[3] In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE
In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specify whether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.
Yes **No**

V. INTERPOL COOPERATION
The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularly the freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL-United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions.
INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** of the above-mentioned entity(ies) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries). **Yes** **No** **Comments:** In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevant inquiries). **Yes** **No** **Comments:**

VI. POINT OF CONTACT *The individual(s) below may serve as a point-of-contact for further questions on this submission:* (THIS INFORMATION SHALL REMAIN CONFIDENTIAL)	
Name:	*Position/Title:*
Contact details: *Office:* *Address:* *Telephone number:* *Fax number:* *E-mail address:*	

Government of Nepal
Ministry of Home Affairs

ANNEX A – Additional aliases and pseudonyms

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank. Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Furthermore, information in thisannex is considered as additional identifiers only and it should not refer to other separate entities (see annex B for related entities).

Alias,	Details (spelling in Latin)			
also-known-as, formerly-known-as	Short Name/Acronym(s)			
	Original script Indicate script	Arabic Chinese	Dari Pashtu Russian	Urdu
		Other Which:		
	Type	Previous legal name	Previous registered name	Also-known-as
		Formerly-known-as	Other Which:	
	Additional information (dates)			
Alias,	Details (spelling in Latin)			
also-known-as, formerly-known-as	Short Name/Acronym(s)			
	Original script Indicate script	Arabic Chinese	Dari Pashtu Russian	Urdu
		Other Which:		
	Type	Previous legal name	Previous registered name	Also-known-as
		Formerly-known-as	Other Which:	
	Additional information (dates)			
Alias,	Details (spelling in Latin)			
also-known-as, formerly-known-as	Short Name/Acronym(s)			
	Original script Indicate script	Arabic Chinese	Dari Pashtu Russian	Urdu
		Other Which:		
	Type	Previous legal name	Previous registered name	Also-known-as
		Formerly-known-as	Other Which:	
	Additional information (dates)			
Alias,	Details (spelling in Latin)			
also-known-as, formerly-known-as	Short Name/Acronym(s)			
	Original script Indicate script	Arabic Chinese	Dari Pashtu Russian	Urdu
		Other Which:		
	Type	Previous legal name	Previous registered name	Also-known-as
		Formerly-known-as	Other Which:	
	Additional information (dates)			
Alias,	Details (spelling in Latin)			
also-known-as, formerly-known-as	Short Name/Acronym(s)			
	Original script Indicate script	Arabic Chinese	Dari Pashtu Russian	Urdu
		Other Which:		
	Type	Previous legal	Previous	Also-known-as

		name	registered name	
		Formerly-known-as	Other Which:	
	Additional information (dates)			
Alias,	Details (spelling in Latin)			
also-known-as,	Short Name/Acronym(s)			
formerly-known-as	Original script Indicate script	Arabic Chinese	Dari Pashtu Russian	Urdu
		Other Which:		
	Type	Previous legal name	Previous registered name	Also-known-as
		Formerly-known-as	Other Which:	
	Additional information (dates)			

Government of Nepal
Ministry of Home Affairs
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ANNEX B – Information about related entities

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank. Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Entities referred to in annex B thatare not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form should be filled in for each entity to be subject to sanctions.

RELATED ENTITY (describe type of relation)		Shared ownership Subsidiary Parent company Shared management Successor company / organizationParticipation in regional / special network Predecessor company / organization Other Which:
Permanent reference number (if listed)		
Full Name		
Spelling variations or other transliterations if used inofficial documents		
Original script (as to appear on the List)	**Indicate script:** Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Other script(s) (if used officially)	**Indicate script:** Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Aliases / also-known-as / formerly-known-as		
Short Name/Acronym(s)		
Registration and other identification numbers	Number	
	Type	Business registration number Tax identification number Employer number social security number Other Which:
	Issuing authority	
	Other numbers (indicate details as above)	
Registered Address	Current	
	Previous, if any	
Date of establishment (DD/MM/YYYY) Additional information		Day Month Year
Place of establishment		
Nature of Business or Activity		Criminal activity Charity / humanitarian assistance BankingTrust / financing Informal / alternative remittance system Political activity Internet / telecommunications business Legal estate Media activity – TV/radio/press/Internet Paramilitary activity Training / recruitment Money wire / exchange Other Which:
Type of Entity		Registered company / business Unregistered company / business Registered non-profit organization Unregistered non-profit organizationRegistered group or affiliation Unregistered group or

Government of Nepal Ministry of Foreign Affairs

	affiliation Illegal paramilitary / armed group Criminal group Other Which:
State(s) of main activity	
Address(es) in state(s) of main activity	
Other supplementary information	

ANNEX C – Information about leadership and management

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank. Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Individuals referred to in annex C that are not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form (for individuals) should be filled in for each individual to be subject to sanctions.

Manager, member of the leadership or other affiliate of the entity

Full name (in Latin alphabet, as to appear on the List)	

Name components (Provide 1 – 8 components. On the columns to theleft, please describe each part of the name as first, middle or family name, et cetera.)	**Describe name component**											
							Name of:					Other, please describe in writing
	First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Father	Grandfather	Great-grandfather	Mother	Tribe	
1.												
2.												
3.												
4.												
5.												
6.												
7.												
8.												

Spelling variations or other transliterations if used inofficial documents		
Original script (as to appear on the List)	**Indicate script:** Arabic Chinese ☐ Russian Urdu ☐ Dari Pasht u Other Whic h:	
Other script(s) (if used officially)	**Indicate script:** Arabic Chinese ☐ Russian Urdu ☐ Dari Pasht u Other Whic h:	
Date of birth	(DD/MM/YYYY) Additional information	Day: Month: Year:
Place of birth (city/area/country)		
Alternative dates or places of birth (please explain)		
Male / Female		
Nationality or citizenship(s)	Current	
	Previous (add dates)	
State(s) of residence	Current	
	Previous (add dates)	

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Position or role in the entity	Supreme leader Top management Mid-management Lower management Sub-leader Operative Chairman Deputy chairman / leader Sole owner Partner Majority owner Part owner Employee Adviser Trainer Financier Beneficiary Facilitator Recruiter Accountant Technical specialist Other Which:
Any additional information (background on alias)	

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THECOMMITTEE FOR LISTING OF INDIVIDUALS
(Basic version)

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1267/listing.shtml

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST
Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the individual concerned.

Full name (in Latin alphabet, as to appear on the List)	

Name components (Provide 1 – 8 components. On the columns to theleft, please describe each part of the name as first, middle or family name, et cetera.)	**Describe name component**						Name of:					
	First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Father	Grandfather	Great-grandfather	Mother	Tribe	Other, please describe in writing
	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
1.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
2.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
3.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
4.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
5.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
6.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
7.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
8.												

Spelling variations or other transliterations if used inofficial documents ☐		
Original script (as to appear on the List)	**Indicate script:** Arabic ☐ Chinese ☐ Russian Urdu ☐ Dari Pasht u Other Whic h:	
Other script(s) (if used officially)	**Indicate script:** Arabic Chinese ☐ Russian Urdu ☐ Dari Pasht u Other Whic h:	
Date of birth	(DD/MM/YYYY) Additional information	Day: Month: Year:
Place of birth (city/area/country)		
Alternative dates or places of birth (please explain)		
Male / Female		
Nationality or citizenship(s)	Current	
	Previous (add dates)	
State(s) of residence	Current	
	Previous (add dates)	

Passport(s), other travel documents andnational identification documents	Document type (see explanatory notes)	Passport ☐ Driver license ☐ National identity card ☐ Birth certificate ☐ Social security card ☐ Other ☐ Which:
	Document number	
	Name issued to (in original script)	Name: Indicate script: Arabic ☐ Chinese ☐ Dari ☐ Pashtu ☐ Russian ☐ Urdu ☐ Other ☐ Which:
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional information	

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible.

Aliases/ Also-Known-As	If the individual has aliases/also-known-as names, both current and formerly used, please fill in annex B. Provide this information only if the data is sufficient to allow for the accurate and positive identification of theindividual concerned; otherwise include this information in section I.B of this form, in fields designated for nicknames and other pseudonyms not sufficient for accurate and positive identification. For definitions please see explanatory notes.

I.B - ADDITIONAL IDENTIFYING INFORMATION THAT MAY ALSO APPEAR ON THE CONSOLIDATED LIST Member States are requested to provide the following information in order to facilitate the identification of the individual concerned.

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification.)	**Type**	Nom de guerre Nickname Adopted name Other which:
	Details (in Latin script)	
	Additional information about this pseudonym	

To add more pseudonyms fill in an additional sheet (annex C)

Title(s)		
Employment / Occupation (please provide dates and nature of employment, in particular regarding positions heldin listed entities)		
Marital status		
Address	Primary address	
	Other addresses (current/previous)	
Location (if different from address)	**Current**	
	Alternative	☐ ☐ ☐
Status	**Wanted / Subject to arrestwarrant**	Yes ☐ No ☐...Not Known ☐ If yes, please explain:
	Detained (please provide date oflikely release)	Yes ☐ No ☐...Not Known ☐ If yes, please explain:
	Convicted / Sentenced (pleaseprovide details of sentence)	Yes ☐ No ☐...Not Known ☐ If yes, please explain:
	Others (please see explanatorynotes)	Yes ☐ No ☐...Not Known ☐ If yes, please explain:

Relevant INTERPOL Notices	Yes No ....Not Known If yes, please explain:
Other supplementary information	

Names of parents	Father's name	
	Mother's name	

Residency permits or visas of individual, or similar official documents	Document type	Residency permit ☐ Work permit ☐ Visa ☐ Alien registration card ☐ Other ☐ Which:
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Other identity documents	Document type	
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Official identity number	Type	Social security number ☐ Alien registration number ☐ Customer ID number ☐ National ID number ☐ Employee number ☐ ☐ Tax ID ☐ Other ☐ which:
	Number	
	Issued by (authority, city, country)	
	Other details	
Other numbers (indicate type, number and issuer as above)		

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible.

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I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)			
Height (cm)		**Eye color**	
Weight (kg)		**Hair color**	
Build		**Complexion**	
Tribal / ethnic background			
Photograph, sketch, computer image attached?	Yes No If yes, type(s):		
Other biometric identifiers attached? For example fingerprints, DNA code, iris scan or digital facial image	Yes No If yes, type(s):		
Distinguishing marks and other physical characteristics			
Languages spoken			
Additional physical characteristics			

I.D – OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE

Government of Nepal Ministry of Home Affairs Singhadurbar, Kathmandu

II. BASIS FOR LISTING
Member States are requested to indicate in one or more of the fields below the nature of the association between the individual inscribed in section I.A of this form and Al-Qaida, Usama bin Laden and/or the Taliban as set out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the individual is associated with that already appear on the Consolidated List. In the event of the designation of this individual by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).
(a) participating in the financing[1], planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usama bin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
(b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
(c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):
(d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.[2] • Name and permanent reference number(s) on Consolidated List (if applicable):
(e) other acts and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivativethereof.[2] • Name and permanent reference number(s) on Consolidated List (if applicable): • Briefly state the nature of the association:
(f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List.[2] • Name(s) and permanent reference number(s) on Consolidated List (if applicable):

[1] The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derivedfrom illicit cultivation, production and trafficking of narcotic drugs originating particularly in Afghanistan, and their precursors (resolution 1904 (2009), para. 9).

III. STATEMENT OF CASE
The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligence,law enforcement, judicial, media, and admissions by subject; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.[3] In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

IV. IDENTITY OF DESIGNATING STATE

In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specifywhether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.

Yes **No**

V. INTERPOL COOPERATION

The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularlythe freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL-United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below ifthe Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** of the above- mentioned individual(s) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries).

Yes **No** **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevantinquiries).

Yes **No** **Comments:**

☐ ☐

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:	*Position/Title:*
Contact details: *Office:* *Address:* *Telephone number:* *Fax number:* *E-mail address:*	

ANNEX A – Additional identification documents

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Passport(s), other travel documents	Document type (see explanatory notes)	Passport Driver license National identity card Social security card Birth certificate Other Which:
	Document number	☐ ☐ ☐ ☐ ☐

Government of Nepal Ministry of Home Affairs Singhadurbar, Kathmandu

andnational identification documents	Name issued to (in original script)	Name: Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional information	

Passport(s), other travel documents andnational identification documents	Document type (see explanatory notes)	Passport Driver license National identity card Social security card Birth certificate Other Which:
	Document number	
	Name issued to (in original script)	Name: Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional information	

Residency permits or visas of individual, orother identity documents	Document type	Residency permit Work permit Visa Alien registration card Other Which:
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Other identity documents	Document type	
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Official identity number	Type	Social security number Alien registration number Customer ID numberNational ID number Employee number Tax ID Other which:
	Number	
	Issued by (authority, city, country)	
	Other details	
Other numbers (indicate type, number and issuer as above)		

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

ANNEX B – Aliases and Also-Known-As

Include only if data is sufficient to allow for the accurate and positive identification of the individual concerned, otherwise
include as nickname, diminutive or other pseudonym in section I.B. of the standard form. Make as many copies of thissheet as needed and fill them in where relevant – other entries may remain blank.

Full name (in Latin alphabet, as to appear on the List)												
Name components (Provide 1 – 8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)	**Describe name component**											
							Name of:					
	First name	Middle name	Last name	Family name	Geographical ref	Religious title	Father	Grandfather	Great grandfather	Mother	Tribe	
1.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		Other, please describe in writing
2.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
3.												
4.												
5.												
6.												
7.												
8.												

Spelling variations or other transliterations if used in official documents		
Original script (as to appear on the List)	**Indicate script:** Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Other script(s) (if used officially)	**Indicate script:** Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Date of birth	(DD/MM/YYYY) Additional information	**Day: Month: Year:**
Place of birth (city/area/country)		
Alternative dates or places of birth (please explain)		
Nationality or citizenship(s)	Current	
	Previous (add dates)	
State(s) of residence	Current	
	Previous (add dates)	
Any additional information (background on alias)		

ANNEX C – ADDITIONAL NICKNAMES, DIMINUTIVES OR PSEUDONYMS

Include only if data is **not** sufficient for accurate and positive identification of the individual concerned
Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	**Type**	Nom de guerre ☐ Nickname ☐ Adopted name ☐ Other ☐ Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	**Type**	Nom de guerre ☐ Nickname ☐ Adopted name ☐ Other ☐ Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	**Type**	Nom de guerre ☐ Nickname ☐ Adopted name ☐ Other ☐ Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	**Type**	Nom de guerre ☐ Nickname ☐ Adopted name ☐ Other ☐ Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	**Type**	Nom de guerre ☐ Nickname ☐ Adopted name ☐ Other ☐ Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	**Type**	Nom de guerre ☐ Nickname ☐ Adopted name ☐ Other ☐ Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Extract from 1988 Committee Guidelines --- Listing Taliban Individuals andEntities

6. Listing

(a) The Committee will consider designations of individuals, groups, undertakings, and entities associated with the Taliban in constituting a threat to the peace, stability and securityof Afghanistan on the basis of the criteria contained in paragraphs 2 and 3 of resolution 2082(2012).

(b) The Committee shall consider including new names based on submissions received fromMember States in line with paragraph 12 of resolution 2082 (2012).

(c) When considering the proposal of a new designation, Member States are strongly urged to consult with the Government of Afghanistan on the designation prior to submission to the Committee through the national contact point identified by the Government of Afghanistan asdescribed in section 5 paragraph (d).

(d) When considering the proposal of a new designation, Member States are also encouraged to seek advice from UNAMA, where appropriate, and may seek advice from theMonitoring Team as well.

(e) Before a Member State proposes a name for inclusion on the 1988 Sanctions List, it is encouraged, to the extent possible, to approach the State(s) of residence and/or nationalityof the individual or entity concerned to seek additional information.

(f) States are advised to submit names as soon as they gather the supporting evidence for designations of individuals/entities associated with the Taliban in constituting a threat to thepeace, stability and security of Afghanistan.

(g) When submitting names of groups, undertakings and/or entities, States are encouraged,if they deem it appropriate, to propose for listing at the same time the names of the individuals responsible for the decisions of the group, undertaking and/or entity concerned.

(h) When proposing names for inclusion on the 1988 Sanctions List, Member States should use the standard forms for listing available on the Committee's website, provide information on any consultations with the Government of Afghanistan and shall include as much relevantand specific information as possible on a proposed name, in particular sufficient identifying information to allow for the accurate and positive identification of the individual, group, undertaking or entity concerned by competent authorities, and to the extent possible, information required by INTERPOL to issue a Special Notice, including:

- For individuals: family name/surname, given names, other relevant names, date of birth, place of birth, nationality/citizenship, gender, aliases, employment/occupation, State(s) of residence, passport or travel document and national identification number,current and previous addresses, current status before law enforcement authorities (e.g. wanted, detained, convicted), location.

- For groups, undertakings or entities: name, registered name, short name(s)/acronyms, and other names by which it is known or was formerly known, address, headquarters, branches/subsidiaries, organizational linkages, parent company, nature of business or activity, State(s) of main activity, leadership/management, registration (incorporation) or other identification number ,status (e.g. in liquidation, terminated), website addresses.

The Monitoring Team shall be prepared to assist Members States in this regard. It shall report to the Committee on further steps that could be taken to improve identifying information, as well as steps to ensure that INTERPOL-UN Special Notices exist for all listedindividuals, groups, undertakings, and entities.

(i) Member States shall provide a detailed statement of case in support of the proposed listing that forms the basis or justification for the listing in accordance with the relevant resolutions. The statement of case should provide as much detail as possible on the basis(es) for listing, including but not limited to: (1) specific information demonstrating that the individual/entity meets the criteria for listing set out in paragraphs 2 and 3 of resolution 2082 (2012); (2) details of any connection with a currently listed individual or entity; (3) information about any other relevant acts or activities of the individual/entity; (4) the nature of the supporting evidence (e.g. intelligence, law enforcement, judicial, open source information, admissions by subject, etc.); (5) additional information or documents supporting the submission as well as information about relevant court cases and proceedings. The statement of case shall be releasable, upon request, except for the parts the designating State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in section 10 below.

(j) Member States proposing a new designation, as well as Member States that have prior to the adoption of resolution 1988 (2011) proposed names for inclusion in sections A ("individuals associated with the Taliban") and section B ("entities and other groups and undertakings associated with the Taliban") of the Consolidated List previously maintained by the Security Council Committee established pursuant to resolution 1267 (1999) concerning Al-Qaida and the Taliban and associated individuals and entities, should specify whether the Committee, or the Secretariat on its behalf, may make known, upon request from another Member State, their status as designating State(s).

(k) Member States may co-sponsor a new designation as long as the listing request is within the Committee's decision making period. These States will also be considered designating States and should also specify whether the Committee may make known, upon request from another Member State, their status as designating State(s).

(l) The Committee will consider listing requests within a period of ten full working days, whichmay be shortened, if requested by a member State, at the Chair's discretion, for emergency and time-sensitive listings. If a proposal for listing is not approved within the decision-makingperiod, the Committee, or the Secretariat on its behalf, will notify the submitting State on the status of the request. The Secretariat shall inform the Committee Members the same working day of holds or objections received before 5:30 p.m.. Holds or objections received after 5:30 p.m. shall be communicated to the Committee Members the following working day.If no objection is received by the end of the no-objection period, the decision will be deemed adopted. The Secretariat shall take all the necessary steps to update the 1988 SanctionsList the following day and inform the State(s) concerned about the Committee's decision.

(m) Committee Members and the Monitoring Team are called upon to share with the Committee any information available regarding a listing request to help inform the Committee's decision and provide additional material for the narrative summary of reasons for listing.

(n) Upon request of a Committee Member, listing requests may be placed on the Committee's agenda for more detailed consideration. If deemed necessary, the Committee may request additional background information from the Monitoring Team and/or the designating State(s). Following consideration by the Committee, the Chair shall circulate the listing request under the written decision-making procedure as described in section 4 paragraph (b) and section 7 paragraph (l).

(o) Where appropriate, the Committee will decide to invite a representative of the Government of Afghanistan to appear before the Committee to discuss the merits of listing certain individuals groups, undertakings and entities, including when a listing request by the Government of Afghanistan had been put on hold or rejected by the Committee.

(p) On the same day that a name is added to the 1988 Sanctions List, the Committee shall, with the assistance of the Monitoring Team and in coordination with the relevant designating State(s), make accessible on the Committee's website a narrative summary of reasons for listing for the corresponding entry or entries. In addition to the narrative summary, the Secretariat shall, promptly after a name is added to the 1988 Sanctions List, publish on the Committee's website all relevant publicly releasable information, where available.

(q) In its communication informing Member States of new entries to the 1988 Sanctions List,the Secretariat shall include the narrative summary of reasons for listing.

(r) Unless the Committee decides otherwise, the Secretariat shall request INTERPOL toissue, where feasible, an INTERPOL-United Nations Security Council Special Notice foreach name added to the list.

(s) As soon as a name is added to the 1988 Sanctions List, the Committee requests the Secretariat to communicate the decision in writing to the Government of Afghanistan, through the national contact point and the Permanent Mission of Afghanistan to the United Nations; and the Permanent Mission of the State(s) in which the individual or entity is believed to be located; and, in the case of individuals, the State(s) of which the person is believed to be a national (to the extent this information is known).

(t) The Secretariat shall include with this communication a copy of the narrative summary ofreasons for listing, a description of the effects of designation, as set forth in the relevant resolutions, the Committee's procedures for considering delisting requests, including the possibility of submitting delisting requests to the Focal Point mechanism established in resolution 1730 (2006), and the provisions for available exemptions.

(u) The letter shall remind States receiving such notification of listings that they should take, in accordance with their domestic laws and practices, all possible measures to notify or inform in a timely manner the newly listed individuals and entities on the 1988 Sanctions List of the measures imposed on them, any information on reasons for listing available on the

Committee's website as well as all the information provided by the Secretariat in the above-mentioned communication.

(v) In addition, in its communication, the Secretariat shall invite States to provide in accordance with national law details on measures taken to implement the sanctions.

STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE 1988 SANCTIONS COMMITTEE FOR LISTING INDIVIDUALS CONSTITUTING A THREAT TO THE PEACE, STABILITY AND SECURITY OF AFGHANIST

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1988/index.shtml

or contact the Analytical Support and Sanctions Monitoring Team at 1988mt@un.org

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST

Member States are requested to provide the Committee with as much information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the individual concerned.

Full name (in Latin script)	

Name components (Please describe each part of the name)	**Description of name component**									
	First name	Name of: Father	Grandfather	Tribe	Takhallus (adjective)	Geographical	Middle name	Last name	Religious title	Other (e.g. mother's name), please describe
1.	☐	☐	☐	☐	☐	☐	☐	☐		
2.	☐	☐	☐	☐	☐	☐	☐	☐		
3.	☐	☐	☐	☐	☐	☐	☐	☐		
4.	☐	☐	☐	☐	☐	☐	☐	☐		
5.	☐	☐	☐	☐	☐	☐	☐	☐		
6.	☐	☐	☐	☐	☐	☐	☐	☐		
7.	☐	☐	☐	☐	☐	☐	☐	☐		
8.	☐			☐						

Script status	Is this:	Original script	Transliteration	Translation	Other, which:

Listed name in original script (if not Latin script)			
Script			
Birth data	Place (locality/area/country):		Day: Month: Year:
Alternative birth data [1]	Place (locality/area/country):		Day: Month: Year:
Male / Female			
Nationality or citizenship(s)	Current		
	Previous (add dates)		
State(s) of residence	Current		
	Previous (add dates)		

Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number	
	Issued at	Country: Province: District:
	Record locator	Volume: Page: Registration:
	Issue date	Day: Month: Year:
	Name issued to (in original script)	Name: Father's name: Grandfather's and/or others name:
	Place of birth noted on tazkira	Province: District: Village:
Passport,	Document type	

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

National ID card (other	Document number	

[1] Related to this name. For birth data related to other names (aliases, secondary identities), please fill in annex A. If there ismore than one alternative date or place of birth, please provide this information under section I.D.

than Afghan tazkira), residency permit, or similar official document	Issued by		
	Issued at		Country: Province: District:
	Issue date	Gregorian Hijri	Day: Month: Year: Original date? Yes No Day: Month: Year: Original date? Yes No
	Expiry date	Gregorian Hijri	Day: Month: Year: Original date? Yes No Day: Month: Year: Original date? Yes No
	Issued to		Name: Family/Father's name and others: Indicate script: Latin Dari Pashtu Other, please specify:
	Place and date of birth as documented		Place (city/area/country): Day: Month: Year:
	Nationality in document		
	Additional information		

Also-known-as	**If the individual has aliases/also-known-as names, both current and previous, please complete annex A**

I.B - OTHER IDENTIFYING INFORMATION THAT MAY APPEAR ON THE 1988 SANCTIONS LIST
Member States are requested to provide the following information to facilitate the identification of the individual concerned.

Title(s)		
Employment / Occupation (please provide dates and nature of employment, in particular regarding positions held in listed groups, undertakings or entities)		
Marital status		
Address	Primary address (city/area/country)	
	Other addresses (current/previous)	
Location (if different from above)	**Current**	
	Alternative	
Status	**Wanted / Subject to arrest warrant**	Yes No ....Not Known If yes, please explain:
	Detained (please provide date of likely release)	Yes No ....Not Known If yes, please explain:
	Convicted / Sentenced (please provide details of sentence)	Yes No ....Not Known If yes, please explain:
	Others (please see explanatory notes)	Yes No ....Not Known If yes, please explain:
Relevant INTERPOL Notices		Yes No ....Not Known Details:
Other supplementary information		

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)			
Height (cm)		**Eye color**	
Weight (kg)		**Hair color**	
Build		**Complexion**	
Photograph, sketch, computer image attached?		Yes No If yes, type(s):	
Other biometric identifiers attached? For example fingerprints, DNA code, iris scan or digital		Yes No If yes, type(s):	

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)	
facial image	
Distinguishing marks and other physical characteristics	
Tribal / ethnic background	
Languages spoken	

I.D – OTHER IDENTIFYING OR ADDITIONAL INFORMATION NOT SPECIFIED ABOVE

Reminder: If the name on an identifying document is different from I.A please provide details of the name structure using

annex A. To add particulars from additional documents, make a copy of and fill in annex B.
Please provide a copy of thedocument(s) if possible.

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the individual inscribed in section I.A of this form and those designated individuals inscribed on the 1988 Sanctions List and other individuals groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan as set out in paragraphs 3 and 4 of resolution 1988 (2011). Full explanations and details of the nature of this associ should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names t already appear on the 1988 Sanctions List with which the individual is associated. In the event that the Committee designates th individual, the information provided will be used for the development of the narrative summary of reasons for listing to be publi on the Committee's website in accordance with paragraph 13 of Security Council resolution 1988 (2011).

☐ (a) Participating in the financing[2], planning, facilitating, preparing or perpetrating of acts or activities by, in conjunction wit under the name of, on behalf of, or in support of those designated and other individuals, groups, undertakings and entities associ with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[3]
• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

☐ (b) Supplying, selling or transferring arms and related materiel to those designated and other individuals, groups, undertakir and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[2]
• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

☐ (c) Recruiting for those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[2]
• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(d) Otherwise supporting acts or activities of those designated and other individuals, groups, undertakings and entities assoc with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[2]
• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

III. STATEMENT OF CASE
The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligen law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.[4]

In accordance with paragraph 12 of resolution 1988 (2011), the statement of case shall be releasable, upon request, except for tl parts a Member State identifies as being confidential to the Committee. It may also be used to develop the narrative summary ol

[2] The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs and their precursors originating inand transiting through Afghanistan (resolution 1988 (2011), para. 5).
[3] Resolution 1988 (2011), paras. 3 and 5.
[4] Resolution 1988 (2011), paras. 11 and 12.

reasons for listing described in paragraph 13 of resolution 1988 (2011).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE
Please specify whether the Committee may make known, upon request from another Member State, your status as a designating State for this name.

☐ **Yes** ☐ **No**

V. INTERPOL COOPERATION
Pursuant to paragraph 11 of resolution 1988 (2011), the Committee may request INTERPOL to issue an INTERPOL-United Nations Security Council Special Notice to alert national law enforcement authorities in INTERPOL member countries that the individual is subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **Designating State** for this name (INTERPOL would then contact your country's permanent mission to the United Nations in New York with relevant inquiries).

☐ **Yes** ☐ **No** **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then communicate directly with the nominated point of conta with relevant inquiries).

☐ **Yes** ☐ **No** **Comments:**

VI. POINT OF CONTACT
The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:	*Position/Title:*

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Contact details: *Office:* *Address:* *Telephone number:* *Fax number:* *E-mail address:*

ANNEX A – Also-Known-As (AKA)

Make as many copies of this sheet as needed. Please provide one sheet for each alias, also-known-as name or alternative script. This level of detail is necessary because transmitting names by describing their structure is crucial to effectiveimplementation. Fields where no applicable data is available may remain blank.

Type of alias/AKA: A separate identity ☐ Name variation ☐ Spelling variation ☐ Nickname ☐ Nom-de-guerre ☐ Former legal name ☐ Other, explain:	
Is this alias/AKA derived from, otherwise linked to, or an alternative form of the main name or other alias/AKA: Yes ☐ Which name: Please explain link:	
Is this alias/AKA sufficient for positive identification: Yes ☐ No Notes: **OR is it a 'weak AKA'[5] (one that is commonly used but insufficient in itself to identify the person):** Yes ☐ No Notes:	
Any other information that may help explain the nature of this AKA:	

IDENTIFYING AKA INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST

Full AKA (in Latin script)											
Name components of AKA	**Describe name component**										
	First name	Name of: Father	Name of: Grandfather	Name of: Tribe	Takhallus (adjective)	Geographical	Middle name	Last name	Religious title	Other (e.g. mother's name), please describe
1. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		
2. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		
3. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		
4. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		
5. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		
6. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		
7. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		
8. ☐ ☐	☐	☐	☐	☐	☐	☐	☐	☐		

Script status	Is this: Original script Transliteration Translation Other, which:		
Listed name in original script (if not Latin script)			
Script			
Birth data	Place (locality/area/country):	Day: Month: Year:	
Alternative birth data [6]	Place (locality/area/country):	Day: Month: Year:	
Any additional information (please provide detailed background on this alias)			

[5] These include nicknames, noms-de-guerre, very common acronyms and names by which the individual refers to himself, orothers refer to him. While it is not expected that names in legal documents will be screened against these AKAs, they can yetbe useful for identification purposes,

particularly in determining whether a possible match triggered by other identifier information is accurate.

[6] Related to this name. For birth data related to other names than the name specified above under I.A. (aliases, secondary identities), please fill in annex A. If there is more than one alternative date or place of birth, please provide this informationunder any additional information.

ANNEX B –Identification and other official documents

Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number	
	Issued at	Province: District: Village/neighborhood:
	Record locator	Volume: Page: Registration:
	Issue date	Day: Month: Year: ☐ ☐
	Name issued to (in original script)	Name: ☐ ☐ Father's name: ☐ ☐ Grandfather's name: ☐ ☐
	Place of birth noted on tazkira	Province: District: Village: ☐ ☐ ☐
Passport, National ID (card other than Afghan tazkira), residency permit, or similar official document	Document type	
	Document number	
	Issued by	
	Issued at	Country: Province: District:
	Issue date Gregorian Hijri	Day: Month: Year: Original date? Yes No Day: Month: Year: Original date? Yes No
	Expiry date Gregorian Hijri	Day: Month: Year: Original date? Yes No Day: Month: Year: Original date? Yes No
	Issued to	Name: Family/Father's name and other names: Indicate script: Latin Dari Pashtu Other, which:
	Place and date of birth as documented	Place (city/area/country): Day: Month: Year:
	Nationality in document	
	Additional information	

STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE 1988 SANCTIONS COMMITTEE FOR LISTING ENTITIES CONSTITUTING A THREAT TO THE PEACE, STABILITY AND SECURITY OF AFGHANIST

For additional information on listing and explanatory notes on completing this form see: www.un.org/sc/committees/1988/index.shtml or contact the 1988 Monitoring Team at 1988mt@un.org.

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST

Member States are requested to provide the Committee with as much information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the entity concerned.

Full name (in Latin script, as to appear on the List) ☐	☐ Acronym:	☐	☐		
Script status	Original script	Transliteration	Translation	Other, please specify:	
Name components (Please describe each part of the name in the columns to the right)	General name component	Legal basis of entity *	Acronym of legal basis *	Other, please describe	
1.					
2.					
3.					
4.					
Listed name in original script (if not Latin script)	Acronym:				
Script					

Type of entity	Registered company / business Registered non-profit organization Registered group or affiliation Illegal paramilitary / armed group Other Please specify:	Unregistered company / business Unregistered non-profit organization Unregistered group or affiliation Criminal group
Registration details and other information	Registering Government authority in Afghanistan (if applicable) Comments:	Afghanistan Investment Support Agency (AISA) Ministry of Commerce Ministry of Justice Ministry of Economy and Planning Other, please specify:
	Incorporation / Registration location	Country: Province: District:
	Date of incorporation / establishment	Day: Month: Year:
	Owner / Founder / Legal Representative / Principal Partner(s) / Main Investor(s)*	In original script: Indicate script: If not Latin, name in Latin script:
	Head Office Address(es) with dates	
	Phone or fax numbers or email	
	Additional information, such as registration number, or comments	

State(s) or region of main activity	
Address(es) in State(s) of main activity (if applicable)	
Branches / subsidiaries (use annex B to report details)	
Parent company (use annex B to report details)	
Leadership and management * (use annex C to report details)	
Organizational linkages	

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

* **General name**: Please list each component separately. **Legal basis of entity**: for example "Incorporated". **Acronym of legal basis**: for example "Ltd.". ☐

• Name(s) entered here will **not** be considered subject to sanctions unless otherwise stated in the letter of submission, in which case separate standard forms for listing individuals should be completed as necessary.

(use annex B to report details) ☐	☐		☐
Known assets / location of assets / patterns of provision ☐	☐		☐
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)			
Relevant INTERPOL Notices		Yes No ....Not Known If yes, please explain:	
Status	In liquidation / suspended / terminated / operating license withdrawn	Yes No ....Not Known If yes, please explain:	
	Operating under caretaker or equivalent	Yes No ....Not Known If yes, please explain:	
	Banned / illegal / clandestine	Yes No ....Not Known If yes, please explain:	
	Other	Please explain:	

Formally-known-as	**If the entity has more aliases/previous names (FKA), please fill in annex A.**

I.B – ADDITIONAL IDENTIFYING OR OTHER INFORMATION NOT SPECIFIED ABOVE

☐

☐

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the entity inscrit in section I.A of this form and those designated individuals inscribed on the 1988 Sanctions List and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan as out in paragraphs 3 and 4 of resolution 1988 (2011). Full explanations and details of the nature of this association should be givei Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names that already appear on 1988 Sanctions List with which the entity is associated. In the event that the Committee designates this entity, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's we in accordance with paragraph 13 of Security Council resolution 1988 (2011).

(a) Participating in the financing[2], planning, facilitating, preparing or perpetrating of acts or activities by, in conjunction w under the name of, on behalf of, or in support of those designated and other individuals, groups, undertakings and entities associa with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[3]

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(b) Supplying, selling or transferring arms and related materiel to those designated and other individuals, groups, undertaking and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[2]

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(c) Recruiting for those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[2]

• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

Government of Nepal Ministry of Home Affairs Singhadurbar, Kathmandu

(d) Otherwise supporting acts or activities of those designated and other individuals, groups, undertakings and entities associa with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.[2]
• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

[2] The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs and their precursors originating in and transitingthrough Afghanistan (resolution 1988 (2011), para. 5).

[3] Resolution 1988 (2011), paras. 3 and 5.

(e) Undertaking or entity owned or controlled, directly or indirectly by, or otherwise supporting, those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and securit Afghanistan.[2 and 3]
• Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the entity meets the criteria above; (ii) the nature of the information, for example, intelligence, l enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should incl details of any connection between the entity proposed for listing and any currently listed individual or entity.[4]

In accordance with paragraph 12 of resolution 1988 (2011), the statement of case shall be releasable, upon request, except for th parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 13 of resolution 1988 (2011).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

III.B PARTS OF STATEMENT OF CASE TO REMAIN CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

Please specify whether the Committee may make known, upon request from another Member State, your Government's status a designating State.

Yes **No**

V. INTERPOL COOPERATION

Pursuant to paragraph 11 of resolution 1988 (2011), the Committee may request INTERPOL to issue an INTERPOL-United Nations Security Council Special Notice concerning this entity to alert national law enforcement authorities in INTERPOL member countries that the entity is subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the entity proposed for designation herewith. For this purpose, please indicate below if the Committe may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** for this name (INTERPOL woul then contact your country's permanent mission to the United Nations in New York with relevant inquiries).

Yes **No** **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then communicate directly with the nominated point of conta with relevant inquiries).

Yes **No** **Comments:**

VI. POINT OF CONTACT *The individual(s) below may serve as a point-of-contact for further questions on this submission.* (THIS INFORMATION SHALL REMAIN CONFIDENTIAL)	
Name:	*Position/Title:*
Contact details: *Office:* *Address:* *Telephone number:* *Fax number:* *E-mail address:*	

[4] Resolution 1988 (2011), paras. 11 and 12.

ANNEX A – Additional or previous names (AKA)

Make as many copies of this sheet as needed. Please provide one sheet for each alternative name or alternative script. This level
of detail is necessary because transmitting names by describing their structure is crucial to effective implementation. Fields mayremain blank where no applicable data is available.

Type of AKA: ☐ A separate identity ☐ Name variation ☐ Spelling variation ☐ Former legal name (FKA) ☐ Other, please specify:					
Is this AKA derived from, otherwise linked to, or an alternative form of the main name or other AKA: ☐ Yes ☐ No Which name: Please explain link:					
Is this AKA sufficient for positive identification: ☐ Yes ☐ No Notes: **OR is it a 'weak AKA'[5] (one that is commonly used but insufficient in itself to identify the entity):** ☐ Yes ☐ No Notes:					
Any other information that may help to understand the nature of this AKA:					
AKA or FKA	Acronym:				
Script					
Script status	☐ Original script ☐ Transliteration ☐ Translation ☐ Other, which:				
Type of name	☐ Previous legal name ☐ Previous registered name ☐ Formerly-known-as ☐ Other Please specify:				
Name components (Please describe each part of the name in the columns to the right)		General* name component	Legal basis of entity *	Acronym of legal basis *	Other, please describe
1.	☐	☐	☐	☐	
2.	☐	☐	☐	☐	
3.	☐	☐	☐	☐	
4.	☐	☐	☐	☐	

Any additional information (please provide detailed background on this name)	

[5] These include monikers, noms-de-guerre, very common acronyms and names by which the designated entities refer to themselves, or are referred to. While it is not expected that names in legal documents will be screened against these AKAs because this might generate alarge number of false matches, they can yet be useful for identification purposes, particularly in determining whether a possible match triggered by other identifier information is accurate.

* **Generic entity name**: Part of entity name without any specified type. **Legal type of entity**: Part of name referring to the formin which the legal entity has been established, for example "Incorporated". **Acronym of legal status**: e.g.. "Ltd." or "Inc."

ANNEX B – Information about related entities

Make copies of this sheet for each related entity as needed and fill them in where relevant. Fields may remain blank where no

applicable data is available. Information provided on this annex may also appear on the 1988 Sanctions List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B of the standard form. Entities referred to in this annex that do not already appear on the 1988 Sanctions List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form should be completed.

RELATED ENTITY (describe type of relation)		☐ Shared ownership ☐ Subsidiary ☐ Parent company ☐ Shared management ☐ Successor company / organization ☐ Participation in regional / special network ☐ Predecessor company / organization ☐ Other Please specify:
Permanent reference number (if listed)		
Full Name		
Type of entity	☐ Registered company / business ☐ Registered non-profit organization ☐ Registered group or affiliation ☐ Illegal paramilitary / armed group ☐ Other Please specify:	☐ Unregistered company / business ☐ Unregistered non-profit organization ☐ Unregistered group or affiliation ☐ Criminal group
Registration details and other information	Registering Government authority in Afghanistan (if applicable) Comments:	☐ Afghanistan Investment Support Agency (AISA) ☐ Ministry of Commerce ☐ Ministry of Justice ☐ Ministry of Economy and Planning ☐ Other, which:
	Incorporation / Registration location	Country: Province: District:
	Incorporation / Foundation date	Day: Month: Year:
	Senior Manager / Owner / Founder / Legal representative / Investor name (if applicable)*	In original script: Indicate script: If not Latin, name in Latin script:
	Address(es) with dates	
	Phone or fax numbers or email	
	Additional information recorded in the registration document or comments	
State(s) or region of main activity		
Address(es) in State(s) of main activity (if applicable)		
Branches / subsidiaries (use annex B to report details)		
Parent company (use annex B to report details)		
Leadership and management *		
Organizational linkages		
Known assets / location of assets / patterns of provision		
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)		
Relevant INTERPOL Notices		☐ Yes ☐ No ☐ Not Known If yes, please explain:
Status	In liquidation / suspended / terminated / operating license withdrawn ☐	☐ Yes ☐ No ☐ Not Known If yes, please explain:
	Operating under caretaker or equivalent ☐	☐ Yes ☐ No ☐ Not Known If yes, please explain:
	Banned/illegal/clandestine ☐	☐ Yes ☐ No ☐ Not Known If yes, please explain:
	Other	Please explain:

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

•Name(s) entered here will **not** be considered subject to sanctions unless otherwise stated in the letter of submission, in whichcase a separate standard form for listing the individual should be filled in for the individuals to be subject to sanctions

ANNEX C – Information about leadership and management

Make copies of this sheet for each related individual as needed and fill them in where relevant. Fields may remain blank where no
applicable data is available. Information provided on this annex may also appear on the 1988 Sanctions List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. in the standard form. Individuals referred to in this annex that do not appear on the 1988 Sanctions List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form (for individuals) should be completed.

MANAGER, MEMBER OF THE LEADERSHIP OR OTHER AFFILIATE OF THE ENTITY	
Position or role in the entity	e.g. Supreme leader, Top / Mid / Lower management, Operative, Chairman, Sole owner, Partner:
Any additional information about linkage between this individuals and the entity submitted for listing	
Full name (in Latin script)	

Name components (Please describe each part of the name in the columns to the right)			**Describe name component** First name	Name of: Father	Grandfather	Tribe	Takhallus (adjective)	Geographical	Middle name	Last name	Religious title	Other (e.g. mother's name), please describe
1.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
2.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
3.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
4.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
5.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
6.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
7.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		
8.	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐		

Script status ☐ Is this: ☐ Original script ☐ Transliteration ☐ Translation Other, which:

Listed name in original script (if not Latin script)			
Script			
Birth data	Place (locality/area/country):	Day: Month: Y	
Alternative birth data [7]	Place (locality/area/country):	Day: Month: Y	

Male / Female		
Nationality or citizenship(s)	Current	
	Previous (add dates)	
State(s) of residence	Current	
	Previous (add dates)	
Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number	
	Issued at	Country: Province: District:
	Record locator	Volume: Page: Registration:
	Issue date	Day: Month: Year:
	Name issued to (in original script)	Name: Father's name: Grandfather's name:
	Place of birth noted on tazkira	Province: District: : Village:
Passport,	Document type	

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

7 Related to this name. For birth data related to other names (aliases, secondary identities), please fill in annex A. In case there are morethan one alternative dates or places, please provide this information under section I.D.

National ID card (other than Afghan tazkira), residency permit, or similar official document	Document number	
	Issued by	
	Issued at	Country: Province: District:
	Issue date Gregorian Hijri	Day: Month: Year: Original date? ☐ Yes ☐ No Day: Month: Year: Original date? ☐ Yes ☐ No
	Expiry date Gregorian Hijri	Day: Month: Year: Original date? ☐ Yes ☐ No Day: Month: Year: Original date? ☐ Yes ☐ No
	Issued to	Name: Family/Father's and others name: Indicate script: ☐ Latin ☐ Dari ☐ Pashtu ☐ Other, which:
	Place and date of birth as documented	Place (city/area/country): Day: Month: Year:
	Nationality in document	
	Additional information	

OTHER IDENTIFYING OR ADDITIONAL INFORMATION NOT SPECIFIED ABOVE

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Annexure 3: Guidance for an Enlisted Individual or Proscribed Entity (Petitioner) Requesting Review of the Designation.

FORMAT AND TRANSMISSION

The request may be transmitted by any means which affords a record in writing – hand delivered, post, e-mail, or fax. For requests submitted by post, in case clarification or follow up is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Ministry of Home Affairs,

Local Administration and provincial coordination section,

Singhadurbar, Kathmandu

Tel: + 977 4211266

E-mail: localadmin@moha.gov.np

CONTENT

Application format: (As per annexure 6 of ALPR 2024)

Format of application for removal of name from list or release of frozen assets

Ministry of Home Affairs
Singhadurbar, Kathmandu.

Dear Sir,

As per the notice dated .... released by Government of Nepal, Ministry of .... pursuant to ALPA 2008, my/our name has been included in the sanction list and upon the following attached documents, I/we are not the person, group, or entities that ought to be listed in the list. I/we duly request the removal of my/our name from the list and the release of frozen funds and assets.

Grounds, documents and evidence claiming not to be listed

1. ....
2. ....
3. ....
4. ....

Applicant

Signature : ....
Full Name : ....
Address : ....
Date : ....

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Additional information:
A request for a review of listing should make reference to the relevant entry in the List and should include the following information:

1) Identification information.
   In the case of an individual especially:
   (a) full name including any middle names or initials, father names and mother's names;
   (b) date and place of birth;
   (c) nationality - if more than one please provide all;
   (d) Current address; and
   (e) any other information which may help to clarify any issues of identity.

2) In the case of an entity especially:
   (a) full name of the entity including any alternative names used;
   (b) if applicable, place and date of incorporation/registration;
   (c) Location of current operation(s); and
   (d) any other information which may help to clarify any issues of identity.

2) A statement of the reasons/ justification for review. This section should be as detailed as possible. The Petitioner should address any specific designating criteria set out in the Narrative Summary (if applicable). If, in addition, the Petitioner has any information or suspicions as to the basis for his or her inclusion on the list, those should be included along with any explanations, arguments or submissions relating to the same.

3) Where available, copies of any documents or other supporting or explanatory material.

4) If applicable, a description of any court proceedings or litigation of relevance to the review request.

5) If applicable, a reference to any previous request for review made by the same Petitioner.

6) Where the request is being made by a person acting on behalf of a listed person, a document signed by the Petitioner, authorizing the person to act on his or her behalf.

FURTHER INFORMATION

Should you require assistance or further information please contact the Local Administration and provincial coordination section, Ministry of Home Affairs.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Annexure 4: 1267 Committee: Fact Sheet on the Assets Freeze and its Exemptions

1. This fact sheet provides basic information on the exemptions to the assets freeze measure imposed by the Security Council on the individuals and entities on the Al-Qaida Sanctions List.

2. By resolution 1452 (2002), as amended by resolution 1735 (2006), the Security Council decidedthat the assets freeze detailed in paragraph 1 (a) of resolution 1989 (2011) does not apply to funds andother financial assets or economic resources that have been determined by the relevant State(s) to be:

   a. necessary for basic expenses, including payments for foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges, or exclusively for payment of reasonable professional fees and reimbursement of incurred expenses associated with the provision of legal services, orfees or service charges for routine holding or maintenance of frozen funds or other financial assets or economic resources, after notification by the relevant State(s) to theCommittee of the intention to authorize, where appropriate, access to such funds, assets or resources and in the absence of a negative decision by the Committee within 3 working days of such notification;

   b. necessary for extraordinary expenses, provided that such determination has beennotified by the relevant State(s) to the Committee and has been approved by the Committee;

3. In addition, paragraph 2 of resolution 1452 (2002) and paragraph 9 of resolution 1989 (2011)stipulates that all States may allow for the addition to accounts subject to the assets freeze of:

   a. interest or other earnings due on those accounts, or

   b. payments due under contracts, agreements or obligations that arose prior to the dateon which those accounts became subject to the assets freeze

   c. any payment in favour of listed individuals, groups, undertakings or entities

providedthat any such interest, other earnings and payments continue to be subject to the assets freeze.

4. The provision of the Committee's guidelines for the application of exemptions to the assets freeze pursuant to resolution 1452 (2002), as amended by resolution 1735 (2006), and pursuant to resolution 1989 (2011), can be found in Section 11. Details on the information that notifications andrequests should, as appropriate, include are available in Section 11, paragraph (c).

5. Any exemption notification/request should be submitted by the relevant State(s) to the Committee's Chairman through the Committee's Secretariat (e- mail: SC-1267-Committee@un.org orfax: +1 212 963 1300).

Annexure 5: Guidance for an individual or entity seeking delisting (Petitioner) from the Al-Qaida Sanctions List (List) of the Security Council's Al-Qaida Sanctions Committee through submitting a request directly to the Office of the Ombudsperson.

FORMAT AND TRANSMISSION

There is no prescribed format for the request. What is important is to include all the necessary information as described in the Content section below. While it is preferable for the request to be submitted in, or accompanied by a translation into, one of the six official languages of the United Nations (Arabic, Chinese, English, French, Russian or Spanish) requests in other languages will be accepted.

The request may be transmitted by any means which affords a record in writing – post, e-mail, or fax.For requests submitted by post, in case clarification or follow up is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Office of the Ombudsperson

Room TB-8041D

United Nation

New York, NY 10017

United States of America

Tel: +1 212 963 2671

Fax: +1 212 963 1300/3778

E-mail: ombudsperson@un.org

CONTENT

A request for delisting should make reference to the relevant entry in the List and should include the following information:

1) Identification information for the Petitioner. In the case of an individual especially:

(a) full name including any middle names or initials, father and grandfather's names as may beapplicable, as well as any other names or pseudonyms used by the Petitioner;

(b) date and place of birth;

(c) nationality - if more than one please provide all;

(d) State of current residence; and

(e) any other information which may help to clarify any issues of identity.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

2) In the case of an entity especially:

(a) full name of the entity including any alternative names used;

(b) if applicable, place and date of incorporation/registration;

(c) State(s) of current operation(s); and

(d) any other information which may help to clarify any issues of identity.

2) A statement of the reasons/ justification for delisting. This section should be as detailed as possible. The Petitioner should address any specific designating criteria set out in the Consolidated List entry or in the Narrative Summary (if applicable). If, in addition, the Petitioner has any informationor suspicions as to the basis for his or her inclusion on the list, those should be included along with any explanations, arguments or submissions relating to the same.

3) Where available, copies of any documents or other supporting or explanatory material.

4) If applicable, a description of any court proceedings or litigation of relevance to the delistingrequest.

5) If applicable, a reference to any previous request for delisting made by the same Petitionerthrough the Focal Point or otherwise.

Note: While resolution 2083 (2012) sets out a procedure for repeat requests, that process will beapplicable only to repeat requests to the Ombudsperson. Thus, where a previous request has been made through the Focal Point or otherwise, the request to the Office of the Ombudsperson will be considered as an initial one.

6) Where the request is being made by a person acting on behalf of a listed person, a documentsigned by the Petitioner, authorizing the person to act on his or her behalf.

FURTHER INFORMATION

Should you require assistance or further information please contact the Office of the Ombudspersonat: ombudsperson@un.org

Annexure 6: Format of Application for Release of Frozen Assets or Funds (As per annexure 7 of ALPR 2024)

Ministry of Home Affairs
Singhadurbar, Kathmandu.

Dear sir,

Though my/our name was not included in the notice issued by the Ministry of Home Affairs with respect to ALPA 2008, my/our following funds and assets were frozen due to the same reason. I/we request to release my/our frozen assets or funds as this action affected my/our rights and interests.

Details of funds and assets:

1. ....
2. ....
3. ....
4. ....

Grounds, documents and evidence claiming release of funds and assets.

1. ....
2. ....
3. ....

Applicant

Signature : ....

Full Name : ....

Address : ....

Date : ....

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Annexure 7: Format of Application for basic expenses (As per annexure 8 of ALPR 2024)

Ministry of Home Affairs
Singhadurbar, Kathmandu.

Dear sir,

As per the notice dated .... released by Government of Nepal, Ministry of Home Affairs with respect to ALPA 2008, my name was included in the list and all my funds and assets got frozen. I have no other source of income. Therefore, I request to provide me following amount for the following purpose.

SN	Particulars	Amount
1	Expenses for daily food, accommodation and medical treatment	
2	Tax amount as per Prevailing Law, electricity, telephone, drinking water and other expenditure for consumption of daily essential services	
3	Children's education expenses	
4	Expenses for legal defense and legal proceedings	
5	Expenditure incurred for proper protection of frozen assets and funds	

Applicant

Signature : ....

Full Name : ....

Address : ....

Notice no. : ....

Date : ....

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Annexure 8 a: Format of Application for extraordinary expenses

Ministry of Home Affairs
Singhadurbar, Kathmandu.

Dear sir,

As per the notice dated .... released by Government of Nepal, Ministry of Home Affairs with respect to ALPA 2008, my name was included in the list and all my funds and assets got frozen. I have no other source of income. Therefore, I request to provide me following amount for the following purpose.

SN	Particulars	Amount
1	Number and amount of any loan installment	
2	Interest	
3	Specific funds (Citizen Investment Trust, Employment Provident Fund etc.)	

Applicant

Signature : ....

Full Name : ....

Address : ....

Notice no. : ....

Date : ....

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

Annexure 8 b: Format of Application for expenses related to contractual obligations

Ministry of Home Affairs
Singhadurbar, Kathmandu.

Dear sir,

As per the notice dated .... released by Government of Nepal, Ministry of Home Affairs with respect to ALPA 2008, my name was included in the list and all my funds and assets got frozen. I have no other source of income. Therefore, I request to provide me following amount for the following purpose.

SN	Details	
1	Contract Type	
2	Date of Contract	
3	Duration of Contract	
4	Brief Summary of Contract	
5	Amount Needed	
6	Supporting documents	
7	Additional information	

Applicant

Signature : ....

Full Name : ....

Address : ....

Notice no. : ....

Date : ....

Annexure 9: Public guidance on submitting a request for de-listing to be posted on the website of MoHA and MOFA

De-listing, Unfreezing and providing access to frozen funds or other assets

<u>De-listing</u>

This document seeks to provide guidance to any person or entity enlisted on the so-called United Nations (UN) List or the Domestic Terrorist List as per Section 29E or 29F of ALPA on how to request removal from such list (also called: de-listing), unfreezing and getting access to funds, other assets or economic resources subjected to freezing measures. Section I is relevant for those persons or entities designated and listed by the UN, where section II is relevant for persons or entities designated by the Government of Nepal and enlisted on the Domestic Terrorist List.

<u>Designation of UN List</u>

If the designated person or entity no longer meets the designation criteria

If the person or entity is designated under the UN List but no longer meets the designation criteria applicable as per the relevant United Nations Security Council Resolution (UNSCR), then such person or entity may submit a request for de-listing to the relevant UN sanctions Committee by notifying the Ministry of Foreign Affairs (MoFA), which shall subsequently notify the Ministry of Home Affairs (MoHA) to conduct the necessary inquiries.

In such cases the petitioner is requested to make use of the information included below as [Form A].

If the designated person or entity seeks to challenge the designation by sending a de-listing petition to the United Nations Office of the Ombudsperson

Individuals or entities on the UN List can also challenge their designation by submitting a request to MoFA. MoFA shall facilitate the communication to the Office of the Ombudsperson of the Security Council's relevant Committee (1267/1989 and 1988 Committee). [Form B] as included below contains relevant guidance regarding such communication / request.

If a person's or entity's funds or other assets are subject to freezing action in Nepal due to similarity in name as a designated person or entity, or due to a false positive hit with a name on the UN List

Persons or entities may be in the situation that their funds or other assets are subjected to a freezing action due to the fact that there is a similarity in the name of the person or entity with an individuals or entity on the UN List. Furthermore, freezing action may have been taken based on a false positive hit with a name on the list (e.g. based on a single initial a 'hit' was established leading to the freezing action, while applying the full set of initials of the person would not have been constituted a 'hit'). [Form C] as included below contains relevant guidance on how to request the Ministry of Home Affairs (MoHA) for the unfreezing of funds or other assets.

c. **Designation under Domestic Terrorist List and Listing made on request of Foreign Country**

The name of designated person, group or organization can be removed from the list if:

i. The person, group or organization no longer meets the designation criteria and MoHA suo-motu decides to delist the person, group or organization
ii. As per application received from the person, group or organization contesting that they should not be listed, MoHA carries out necessary review of the application and may remove the person from the domestic terrorist list where applicable. The information that should be given by the designated person, group or entity designated under domestic terrorist list for review should provide the details listed in [Form D].
iii. If the individual or entity is listed due to listing request made by foreign country and was listed in the Domestic Terrorist List, then such individual or entity can provide application along with verifiable documents to MoHA contesting that they should not be on that list. MoHA can request to the foreign country, through MoFA, to conduct review and if foreign country as well as MoHA are satisfied that such person or entity should not be on the stipulated list, such person or entity should be removed from the domestic terrorist list. (The information that should be given by the designated person, group or entity designated as per foreign request for review should provide the details listed in [Form D])
iv. If the delisted person/group/entities are found listed due to false positive.
v. If the person/group/entities are not convicted by the court in final hearing.

[Form A:] Information on the format to be used by a person or entity seeking delisting ('Petitioner') from the UN Sanctions List (UN List) through submitting a request to the UN 1267/1989 or 1988 Committee via the Ministry of Foreign Affairs

There is no prescribed format for the request. What is important is to include all the necessary information as described in the Content section below. While it is preferable for the request to be submitted in, or accompanied by a translation into, one of the six official languages of the United Nations (Arabic, Chinese, English, French, Russian or Spanish) requests in other languages will be accepted.

The request may be transmitted by any means which affords a record in writing – post, e-mail, or fax. For requests submitted by post, in case clarification or follow up is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Ministry of Foreign Affairs

INTERNATIONAL ORGANIZATION AND INTERNATIONAL LAW DIVISION

Tel: +977 4200182

E-mail: ioil@mofa.gov.np

CONTENT

A request for delisting due to not, or no longer, meeting the designation criteria should make reference to the relevant entry in the UN List and should include the following information:

1. Identification information for the Petitioner.

In the case of an individual especially:

3) full name including any middle names or initials, father and grandfather's names as may beapplicable, as well as any other names or pseudonyms used by the Petitioner;
4) date and place of birth;
5) nationality - if more than one please provide all;
6) State of current residence; and
7) any other information which may help to clarify any issues of identity.

In the case of an entity especially:

a) full name of the entity including any alternative names used;
b) if applicable, place and date of incorporation/registration;
c) State(s) of current operation(s); and
d) any other information which may help to clarify any issues of identity.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

2. A statement of the reasons/ justification for delisting.

   This section should be as detailed as possible. The Petitioner should address any specific designating criteria set out in the UN List entry or in the Narrative Summary (if applicable), and why the Petitioner considers such specific designation criterion not met or no longer met. If, in addition, the Petitioner has any information or suspicions as to the basis for his or her inclusion on the list, those should be included along with any explanations, arguments or submissions relating to the same.

3. Where available, copies of any documents or other supporting or explanatory material.

4. If applicable, a description of any court proceedings or litigation of relevance to the delisting request.

5. If applicable, a reference to any previous request for delisting made by the same Petitioner.

6. Where the request is being made by a person acting on behalf of a listed person, a documentsigned by the Petitioner, authorizing the person to act on his or her behalf.

FURTHER INFORMATION

Should you require assistance or further information please contact the Minstry of Foreign Affairs: *[email address]*

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

[Form B:] Information on the format to be used by a person or entity seeking delisting ('Petitioner') from the UN Sanctions List (UN List) through submitting a request to the UN Office of the Ombudsperson via the Ministry of Foreign Affairs Guidance for an enlisted individual or proscribed entity (Petitioner) requesting review of the designation.

FORMAT AND TRANSMISSION

There is no prescribed format for the request. What is important is to include all the necessary information as described in the Content section below. While it is preferable for the request to be submitted in, or accompanied by a translation into, one of the six official languages of the United Nations (Arabic, Chinese, English, French, Russian or Spanish) requests in other languages will be accepted.

The request may be transmitted by any means which affords a record in writing – post, e-mail, or fax. For requests submitted by post, in case clarification or follow up is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Office of the Ombudsperson

Room TB-8041D

United Nation

New York, NY 10017

United States of America

Tel: +1 212 963 2671

Fax: +1 212 963 1300/3778

E-mail: ombudsperson@un.org

CONTENT

A request for delisting due to not, or no longer, meeting the designation criteria should make reference to the relevant entry in the UN List and should include the following information:

1. Identification information for the Petitioner.

   In the case of an individual especially:

   a) full name including any middle names or initials, father and grandfather's names as may beapplicable, as well as any other names or pseudonyms used by the Petitioner;
   b) date and place of birth;

c) nationality - if more than one please provide all;
d) State of current residence; and
e) any other information which may help to clarify any issues of identity.

In the case of an entity especially:
e) full name of the entity including any alternative names used;
f) if applicable, place and date of incorporation/registration;
g) State(s) of current operation(s); and
h) any other information which may help to clarify any issues of identity.

2. A statement of the reasons/ justification for delisting.

   This section should be as detailed as possible. The Petitioner should address any specific designating criteria set out in the UN List entry or in the Narrative Summary (if applicable), and why the Petitioner considers such specific designation criterion not met or no longer met. If, in addition, the Petitioner has any information or suspicions as to the basis for his or her inclusion on the list, those should be included along with any explanations, arguments or submissions relating to the same.

3. Where available, copies of any documents or other supporting or explanatory material.

4. If applicable, a description of any court proceedings or litigation of relevance to the delisting request.

5. If applicable, a reference to any previous request for delisting made by the same Petitioner through the Focal Point or otherwise.

6. Where the request is being made by a person acting on behalf of a listed person, a document signed by the Petitioner, authorizing the person to act on his or her behalf.

Note: While resolution 2083 (2012) sets out a procedure for repeat requests, that process will beapplicable only to repeat requests to the Ombudsperson. Thus, where a previous request has been made through the Focal Point or otherwise, the request to the Office of the Ombudsperson will be considered as an initial one.

FURTHER INFORMATION

Should you require assistance or further information please contact the Office of the Ombudspersonat: ombudsperson@un.org

[Form C:] Information for request to unfreeze funds or other assets in situations where a person's / entity's funds or other assets are subject to freezing action in Nepal due to a similarity in name as a designated person / entity, or due to a false positive hit with a name on the UN List

FORMAT AND TRANSMISSION

There is no prescribed format for the request. What is important is to include all the necessary information as described in the Content section below. The request may be transmitted by any means which affords a record in writing – hand delivered, post, e-mail, or fax. For requests submitted by post, in case clarification or followup is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Ministry of Home Affairs,
Local Administration and provincial coordination section,
Singhadurbar, Kathmandu
Tel: + 977 4211266

E-mail: localadmin@moha.gov.np

CONTENT

A request for unfreezing funds or other assets due to a similarity in name and/or other identifiers with a listed person/entity, or due to a false positive hit with a name on the UN list, should make reference to the relevant entry in the UN List and should include the following information:

1. Identification information for the Petitioner.

   In the case of an individual especially:
   a) full name including any middle names or initials, father and grandfather's names as may beapplicable, as well as any other names or pseudonyms used by the Petitioner;
   b) date and place of birth;
   c) nationality - if more than one please provide all;
   d) State of current residence; and
   e) any other information which may help to clarify any issues of identity.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

In the case of an entity especially:

i) full name of the entity including any alternative names used;
j) if applicable, place and date of incorporation/registration;
k) State(s) of current operation(s); and
l) any other information which may help to clarify any issues of identity.

7) A statement of the reasons/ justification for unfreezing funds or other assets. This section should be as detailed as possible reflecting on the Petitioner not meeting all the identified and having different as included in the List. The Petitioner should address any specific designating criteria set out in the Narrative Summary (if applicable) that has led to the freezing action, and where this has led to the confusion or false positive hit.

   Where available, copies of any documents or other supporting or explanatory material.

8) If applicable, a reference to any previous request for review made by the same Petitioner.

9) Where the request is being made by a person acting on behalf of a listed person, adocument signed by the Petitioner, authorizing the person to act on his or her behalf.

FURTHER INFORMATION

Should you require assistance or further information please contact the Local Administration and provincial coordination section, Ministry of Home Affairs.

[Form D:] Information for an enlisted individual or entity (Petitioner) requesting review of the designation on the Domestic Terrorist List.

FORMAT AND TRANSMISSION

The format of application as included below may be used for the request. The request may be transmitted by any means which affords a record in writing – hand delivered, post, e-mail, or fax. For requests submitted by post, in case clarification or followup is required, it would facilitate the process if a return e-mail address or fax number were included or if that is not possible, a phone number through which the Petitioner can be contacted. The request may be sent to the following addresses:

Ministry of Home Affairs,

Local Administration and provincial coordination section,

Singhadurbar, Kathmandu

Tel: + 977 4211266

E-mail: localadmin@moha.gov.np

Format of application for removal of name from list or release of frozen assets

Ministry of Home Affairs
Singhadurbar, Kathmandu.

Dear Sir,

As per the notice dated .... released by Government of Nepal, Ministry of .... pursuant to ALPA 2008, my/our name has been included in the sanction list and upon the following attached documents, I/we request for removal from the list and/or to release frozen funds or other assets, due to (select the possible ground):

- I/we no longer meet the designation criteria
- I/we contest the listing
- My/our listing was due to listing request made by a foreign country and leading to listing in the Domestic Terrorist List,
- My/our funds or other assets were subject to freezing action due to false positive.

I/we duly request the removal of my/our name from the list and the release of frozen funds and/or other assets.

Grounds, documents and evidence claiming not to be listed and/or release of frozen funds and/or other assets

5. ....
6. ....

7. ....
8. ....

Applicant
Signature : ....
Full Name : ....
Address : ....
Date : ....

Additional information:
A request for a review of listing or freezing action should make reference to the relevant entry in the List and should include the following information:

1. Identification information.
   In the case of an individual especially:

   3) full name including any middle names or initials, father names and mother's names;
   4) date and place of birth;
   5) nationality - if more than one please provide all;
   6) current address; and
   7) any other information which may help to clarify any issues of identity.

   In the case of an entity especially:
   a) full name of the entity including any alternative names used;
   b) if applicable, place and date of incorporation/registration;
   c) Location of current operation(s); and
   d) any other information which may help to clarify any issues of identity.

2. A statement of the reasons/ justification for review ('grounds, documents and evidence claiming not to be listed and/or release of frozen funds and/or other assets'). This section should be as detailed as possible. The Petitioner should address any specific designating criteria set out in the Narrative Summary (if applicable). If, in addition, the Petitioner has any information or suspicions as to the basis for his or her inclusion on the list, those should be included along with any explanations, arguments or submissions relating to the same.

3. Where available, copies of any documents or other supporting or explanatory material.

4. If applicable, a description of any court proceedings or litigation of relevance to the review request.

5. If applicable, a reference to any previous request for review made by the same Petitioner.

6. Where the request is being made by a person acting on behalf of a listed person, a document signed by the Petitioner, authorizing the person to act on his or her behalf.

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu

FURTHER INFORMATION

Should you require assistance or further information please contact the Local Administration and provincial coordination section, Ministry of Home Affairs

Government of Nepal
Ministry of Home Affairs
Singhadurbar, Kathmandu